



# Chain growth mechanism of Fischer–Tropsch synthesis on $\text{Fe}_5\text{C}_2(001)$

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## ARTICLE INFO

### Article history:

Received 12 January 2011

Received in revised form 17 June 2011

Accepted 21 June 2011

Available online 28 June 2011

### Keywords:

FTS

$\text{Fe}_5\text{C}_2(001)$

DFT

Insertion mechanism

Carbide mechanism

## ABSTRACT

The chain growth mechanisms of Fischer–Tropsch synthesis on  $\text{Fe}_5\text{C}_2(001)$  were investigated at the levels of density functional theory. On the  $\text{H}_2$  and CO co-adsorbed surface, the formation of CH and CCO is the most favored initial steps. The subsequent steps of CCO coupling with C into CCCO and CCO hydrogenation into  $\text{CCH}_2$  and CHCH are competitive. Furthermore, CCH from  $\text{CCH}_2$  and CHCH dehydrogenation can couple with C to form CCCH. Since chain initiation from CO insertion obeys insertion mechanism, and chain propagation from CCH coupling obeys carbide mechanism, both mechanisms are operative and cooperative in Fischer–Tropsch synthesis. The carburized active surfaces can be regenerated and maintained by CO adsorption on the vacancy site, followed by hydrogenation into surface formyl (CHO) and successive dissociation into surface CH and O. In addition surface O can be hydrogenated into surface OH, and  $\text{H}_2\text{O}$  formation from surface OH disproportionation is energetically more favored than surface OH hydrogenation.

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## 1. Introduction

Iron-based catalysts are widely used in industrial Fischer–Tropsch synthesis (FTS) [1], which becomes increasingly important especially for liquid fuel production under the background of the predicted lack of crude oil supply. In FTS, iron carbides are the active phases of iron-based catalysts [2–9] and the Hägg iron carbide ( $\text{Fe}_5\text{C}_2$ ) is the most representative one. Transmission electron microscopy, Mössbauer spectroscopy and X-ray analysis reveal the responsibility of the highly dispersed  $\text{Fe}_5\text{C}_2$  for the high FTS activity [3–7]. FTS products are rather complex, including linear paraffins,  $\alpha$ -olefins and a small amount of oxygenated compounds such as alcohols, aldehydes, ketones, acids, as well as  $\text{H}_2\text{O}$  and  $\text{CO}_2$  [10–12]. Both experimental and theoretical studies have been dedicated to FTS mechanisms, and some insights are outlined below.

Carbide mechanism, originally proposed by Fischer and Tropsch [13], was put forward by Pettit et al. and Biloen et al. [14–17]. They proposed the formation pathway of surface  $\text{CH}_2$  and alkyl polymerization scheme. However, the critical point of carbide mechanism is chain initiation, which remains serious debates. Mims et al. [18] used isotopic transient experiment to study high hydrocarbon formation on Ru catalysts, and suggested vinylidene ( $\text{CH}_2\text{C}$ ) or ethylidene ( $\text{CH}_3\text{CH}$ ) as possible  $\text{C}_2$  initiator. Turner [19–25] and Jordan et al., [26,27] showed that  $\text{C}_2$  species, derived from

either vinyl-X ( $X = \text{Br}, \text{SiR}_3$ , etc.) or ethane probes, are incorporated into hydrocarbons over a number of metals, and vinyl ( $\text{CH}_2\text{CH}$ ) is involved in initiating polymerization in many experiments [28–32]. Ciobîcă et al. [33,34] studied the FTS mechanism on  $\text{Ru}(0001)$  using dynamic Monte Carlo and density functional theory (DFT) method, and found CH as the most likely monomer, as well as  $\text{CHCH}_2$  and  $\text{CHCH}_3$  as  $\text{C}_2$  initiators. On the basis, they [35] proposed the parallel mechanisms of alkylidene (methylene-like) and alkyl (methyl-like) intermediates. Liu et al. [36,37] studied all possible C–C coupling reactions on flat and stepped  $\text{Ru}(0001)$  and stepped  $\text{Rh}(111)$  using DFT method, and found  $\text{C} + \text{CH}$  as the most favored reaction on stepped  $\text{Ru}(0001)$  and  $\text{Rh}(111)$ . Lo and Ziegler [38] studied chain initiation on  $\text{Fe}(100)$  with DFT method, and found  $\text{C} + \text{CH}_2$  as the most likely reaction pathway and  $\text{C} + (\text{CCCH}_2/\text{CCCH}_3)$  as the most favored pathway for chain propagation [39]. On the  $\text{Fe}_3\text{C}(100)$  surface, Deng et al. found that surface  $\text{C}_2\text{H}_x$  formation comes from CO insertion into surface carbon followed by C–O bond dissociation and hydrogenation [40]. Although carbide mechanism can explicitly explain the formation of hydrocarbons, it cannot explain the formation of oxygenated products [41].

CO insertion mechanism was proposed by Pichler and Schulz [42], in which  $\text{C} + \text{C}$  coupling via CO insertion into adsorbed alkyl with the formation of surface acyl ( $\text{RCO-}$ ) may result in alkyl, alkenes and oxygenates. Emmett et al. [43,44] added radioactive alcohol, ethylene, propionaldehyde and propanol etc. into syngas ( $\text{CO} + \text{H}_2$ ), and found the  $\text{C}_2$ – $\text{C}_{10}$  products containing radioactive carbon atom, suggesting primary alcohols added as starting nuclei in building up higher hydrocarbons. For CO hydrogenation, the barrier of CHO hydrogenation is 0.45 eV lower than that of dissociation on flat  $\text{Co}(0001)$  [45,46]. On flat and stepped  $\text{Co}(0001)$ , the barrier

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of  $\text{CH}_2\text{O}$  hydrogenation is 0.09 and 0.40 eV lower than that of dissociation, respectively [45], and the favored product is  $\text{CH}_3\text{OH}$  instead of  $\text{CH}_x$ . However, the products of ketene ( $\text{CH}_2\text{CO}$ ) hydrogenation on  $\text{Fe}_5\text{C}_2(001)$  are hydrocarbon instead of ethanol [47]. Therefore, the CO insertion mechanism leading either to oxygenates or to hydrocarbons needs to be understood in detail.

Anderson [48] and Eidus [49] proposed hydroxycarbene mechanism, in which the condensation of two  $\text{M}=\text{CHOH}$  group results in  $\text{CHCOH}$  formation, which can be further hydrogenated to hydrocarbons and oxygenates. Hydroxycarbenes as ligands have been found in transition metal complexes, such as  $(\text{CO})_5\text{Cr}=\text{C}(\text{OH})\text{Ph}$  [50] and  $(\text{CO})_2\text{Re}=\text{C}(\text{OH})\text{CH}_2\text{CH}_2(\eta^5\text{-C}_5\text{H}_4)$  [51].

Apart from hydrocarbons,  $\text{H}_2\text{O}$  also is a primary product from FTS [52]. It is believed that high  $\text{H}_2\text{O}$  concentration is one factor for oxidation and deactivation of iron carbides [53–56], and therefore understanding  $\text{H}_2\text{O}$  formation and desorption is important for enhancing FTS activity. Michaelides and Hu [57,58] used DFT methods to investigate the microscopic reactions of  $\text{H}_2\text{O}$  formation on  $\text{Pt}(111)$ , and reported the detailed mechanism. Gong et al. [59] performed DFT calculations to study the mechanism of  $\text{H}_2\text{O}$  formation on flat and stepped  $\text{Co}(0001)$  surfaces, and found the  $\text{H}_2\text{O}$  formation is favored at high coverage.

In our theoretical study on chain growth mechanism, both carbide and CO insertion mechanisms on  $\text{Fe}_5\text{C}_2(001)$  are considered. Under a wide range of conditions, there are many different intermediates, which may lead to the same products from different pathways. We investigated all possible  $\text{C}_1 + \text{C}_1$  couplings for  $\text{C}_2$  initiator. On the basis of the favored  $\text{C}_2$  formation, the chain growth through  $\text{C}_2 + \text{C}_1$  coupling was studied. Furthermore, chain growth mechanism on  $\text{Fe}_5\text{C}_2(001)$  was discussed, and compared with chain initiation mechanism on other  $\text{Fe}_x\text{C}$  surfaces. In addition, the mechanism of  $\text{H}_2\text{O}$  formation on  $\text{Fe}_5\text{C}_2(001)$  was studied.

## 2. Methods and models

All calculations were performed at the DFT level using the Cambridge sequential total energy package (CASTEP) [60,61]. Ionic cores were described by ultrasoft pseudopotential [62] and PBE functional [63] (USPP–PBE) and the Kohn–Sham one-electron states were expanded in a plane wave basis set up to 340 eV. A Fermi smearing of 0.1 eV was utilized. Brillouin zone integration was approximated by a sum over special  $k$ -points chosen using the

Monkhorst–Pack scheme [64]. The pseudopotential with partial core was used in spin-polarized calculations to include non-linear core corrections [65]. Spin polarization was used to calculate the energies and structures of isolated  $\text{C}_x\text{H}_y$  and  $\text{C}_x\text{H}_y\text{O}$ . Without counting the adsorbate, the vacuum of the slabs was set to span a range of 10 Å to ensure no significant interaction between the slabs. The convergence criteria for structure optimization and energy calculation were set to: (a) SCF of  $2.0 \times 10^{-6}$  eV/atom; (b) energy of  $2.0 \times 10^{-5}$  eV/atom; (c) displacement of  $2.0 \times 10^{-3}$  Å; (d) force of 0.05 eV/Å (0.25 eV/Å for transition state structure calculation).

The transition state structures were located by using the complete LST/QST [66] method in CASTEP. It starts with linear synchronous transit (LST) maximization, followed by energy minimization in directions conjugate to the reaction pathway. The approximated TS is further used to perform quadratic synchronous transit (QST) maximization. From that point, another conjugate gradient minimization is performed. The cycle is repeated until a stationary point is located. We also calculated the transition state structures of  $\text{C}_1 + \text{C}_1$  coupling reactions using the VASP–PAW–PBE method with the convergence criteria for structure optimization and energy calculation: (a) SCF of  $1.0 \times 10^{-4}$  eV; (b) energy of  $1 \times 10^{-3}$  eV; and (c) force of 0.05 eV/Å. Reasonable agreements between VASP–PAW–PBE and CASTEP–USPP–PBE have been found (Supporting Information). Reasonable agreements between VASP and CASTEP also have been found for  $\text{CH}_x$  hydrogenations on  $\text{Fe}_5\text{C}_2(001)$  [67], and ethene epoxidation on two oxidized  $\text{Ag}(111)$  surfaces [68].

The vibrational frequencies of adsorbed species and transition state structures were calculated with VASP. This was done with the frozen phonon mode approximation in which the metal atoms are fixed at the relaxed geometries. Due to the large mass difference between Fe and surface carbon species, the vibrations of Fe atoms can be neglected. The Hessian matrix was determined based on a finite difference approach with a step size of 0.02 Å for the displacements of the individual atoms of the adsorbates along each Cartesian coordinate. By diagonalizing the mass-weighted Hessian matrix, the corresponding frequencies and normal modes have been determined. The optimized structures are true energy minimum states with only real frequencies. The optimized transition structures have only one imaginary frequency.

As shown in the side view of  $\text{Fe}_5\text{C}_2(001)$  in Fig. 1, in order to compare with carbon hydrogenation [67], we used a model system

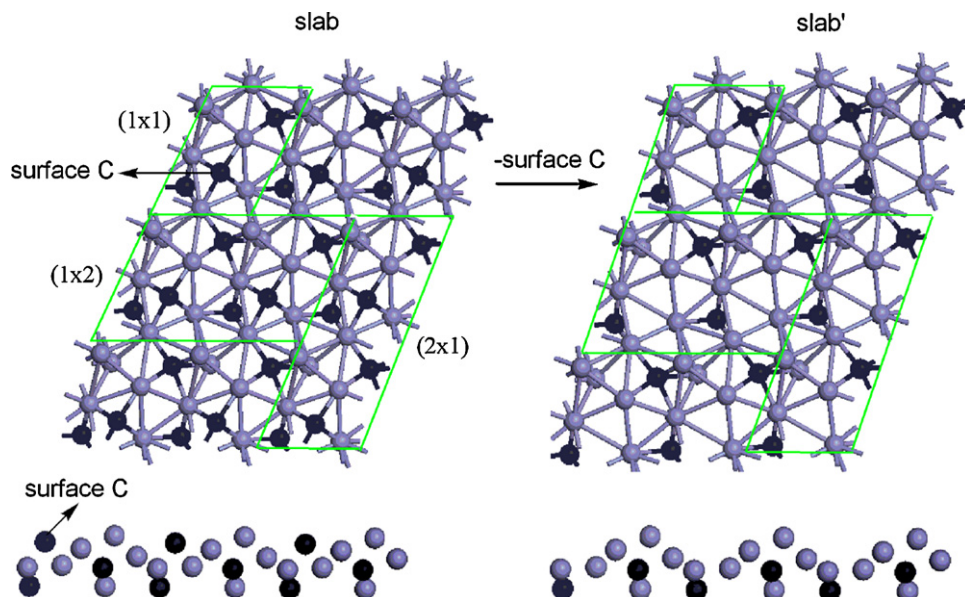


Fig. 1. Top and side views of the  $\text{Fe}_5\text{C}_2(001)$  slab.

**Table 1**  
Computed activation energy and reaction energy (eV) of CH<sub>x</sub>O hydrogenation and C–O cleavage of CH<sub>x</sub>O species on Fe<sub>5</sub>C<sub>2</sub>(001).

	$\Delta E^a$	$E_{a(f)}^b$	$E_{a(r)}^c$	$\Delta H_{(c)}^d$
CO → C + O ( <b>1a</b> )	0.11	2.98	2.66	0.32
CO + H → HCO ( <b>1b</b> )	0.00	1.39	0.41	0.98
CHO → CH + O ( <b>2a</b> )	0.46	1.16	1.21	−0.05
CHO + H → CH <sub>2</sub> O ( <b>2b</b> )	0.00	0.91	0.45	0.46
CH <sub>2</sub> O → CH <sub>2</sub> + O ( <b>3a</b> )	0.24	0.69	0.78	−0.09
CH <sub>2</sub> O + H → CH <sub>3</sub> O ( <b>3b</b> )	0.00	1.06	0.62	0.44
C + CO → CCO ( <b>4a</b> )	0.00	0.66	0.65	0.01
C + H → CH ( <b>4b</b> )	0.00	0.52	0.67	−0.15
CH + CO → CHCO ( <b>4c</b> )	0.00	1.04	0.32	0.72
CH + H → CH <sub>2</sub> ( <b>4d</b> )	0.06	0.79	0.02	0.77
CH <sub>2</sub> + H → CH <sub>3</sub> ( <b>4e</b> )	0.02	0.51	0.69	−0.18
CH <sub>3</sub> + H → CH <sub>4</sub> ( <b>4f</b> )	0.26	0.95	0.75	0.20

<sup>a</sup> For a reaction (A + B → AB),  $\Delta E = [E(A+B/\text{slab}) + E(\text{slab})] - (E(A/\text{slab}) + E(B/\text{slab}))$  for co-adsorbed A and B.

<sup>b</sup>  $E_{a(f)} = [E(\text{TS}) + E(\text{slab})] - E((A+B)/\text{slab})$  for the forward energy barrier.

<sup>c</sup>  $E_{a(r)} = [E(\text{TS}) + E(\text{slab})] - E(AB/\text{slab})$  for the reversed energy barrier.

<sup>d</sup>  $\Delta H_{(c)} = E(AB/\text{slab}) - E((A+B)/\text{slab})$  for reaction energy.

with five iron layers and three carbon layers (5Fe/3C), in which the bottom two iron layers and two carbon layers (2Fe/2C) are fixed in their bulk positions, while the three iron layers and one carbon layer on the top (3Fe/1C) can relax. The top layer of Fe<sub>5</sub>C<sub>2</sub>(001) has both Fe and C in 1:1 ratio, while the second and third layers contain only Fe atoms. A model system with eight iron layers and three carbon layers (8Fe/3C) under the relaxation of the top five iron layers and two carbon layers (5Fe/2C) was tested, and the differences in energy barrier and reaction energy of C + CH → CCH and C + CH<sub>3</sub> → CCH<sub>3</sub> reactions are 0.07 vs. 0.10, and 0.09 eV vs. 0.07 eV, respectively, which validate the applicability of our model. A 3 × 5 × 2 *k*-grid sampling within the Brillouin zones was used in the *p*(1 × 1) unit cell. 3 × 2 × 2 and 2 × 5 × 2 *k*-grid samplings within the Brillouin zones were used in the *p*(1 × 2) and *p*(2 × 1) unit cells, respectively. Since the top layer of Fe<sub>5</sub>C<sub>2</sub>(001) has carbon atoms, and Stockwell et al. [69] verified with <sup>13</sup>C traces that surface carbons of carbide catalysts are incorporated in the FTS products, surface carbon coupling with CH<sub>x</sub> and CO also was studied.

For the C<sub>2</sub> and C<sub>3</sub> surface adsorbates, the adsorption energy is defined as  $\Delta E_{\text{ads}} = (1/n)[E(n\text{-adsorbate}/\text{slab}') - E(\text{slab}')] - E(\text{adsorbate})$ , where  $E(\text{adsorbate}/\text{slab}')$  is the total energy for the slabs excluding surface carbon atom with adsorbate formed on the surface,  $E(\text{slab}')$  is the total energy of the slab excluding surface carbon atom,  $E(\text{adsorbate})$  is the total energies of free adsorbate and *n* is the number of adsorbate.

For coupling reaction like A + B = AB, the reaction energy is given under two definitions: (a)  $\Delta H_{(s)} = [E(AB/\text{slab}) + E(\text{slab})] - [E(A/\text{slab}) + E(B/\text{slab})]$ , where  $E(A/\text{slab})$ ,  $E(B/\text{slab})$  and  $E(AB/\text{slab})$  are the total energies for the separately adsorbed A/slab, B/slab and AB/slab, respectively.  $\Delta H_{(s)}$  was deduced from the most stable states of the reactants and products for getting the thermodynamics without lateral interaction among the adsorbates (negligible coverage effect). (b)  $\Delta H_{(c)} = E(AB/\text{slab}) - E((A+B)/\text{slab})$ ; where  $E((A+B)/\text{slab})$  is the total energy of the co-adsorbed (A+B)/slab, and  $\Delta H_{(c)}$  reflects the thermodynamics at a defined coverage and includes the effect of lateral interactions. The energy barrier without lateral interaction between reactant and transition state is defined as:  $E_a = [E(\text{TS}) + E(\text{slab})] - (E((A+B)/\text{slab}))$ . The lateral interaction of A and B in the co-adsorbed state is the difference between  $\Delta H_{(s)}$  and  $\Delta H_{(c)}$ .

We also have investigated zero-point energy (ZPE) correction with VASP on the reaction energies and the activation barriers. We found that ZPE correction has only a slight effect (about 0.1 eV) on the calculated energies (energies without ZPE correction were shown in Supporting Information).

The reaction rate (*r<sub>i</sub>*) of some reactions (both forward and back) is calculated using the harmonic transition state theory;  $r_i = A \exp(-E_a/RT) \theta_A \theta_B$ , where *A* is the pre-exponential factor [70],

which may be assumed to be 10<sup>13</sup>s<sup>−1</sup>, and *E<sub>a</sub>* is the activation barrier. Since the reaction temperature of FTS is at 483–543 °C [71]; the rate constants (forward and back reactions) at 483 K and 543 K are calculated. For all reactions, rate constants increase by a factor of 10–100 from 483 K to 543 K.

### 3. Results and discussion

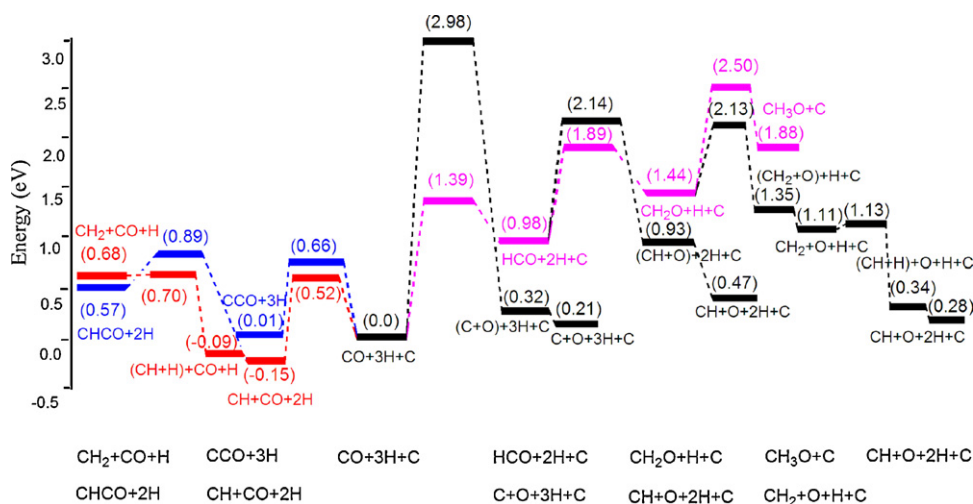
After CO and H<sub>2</sub> co-adsorption, surface CO, H and C are formed; and surface C hydrogenation, CO dissociation and hydrogenation, as well as CO coupling with CH<sub>x</sub> are possible. We previously computed surface C hydrogenation on Fe<sub>5</sub>C<sub>2</sub>(001), and found that surface CH should be the most favorable species, and the last step to form CH<sub>4</sub> has the highest barrier [67]. For comparison, CO dissociation and hydrogenation as well as coupling with surface CH<sub>x</sub> are further studied.

#### 3.1. CO dissociation, hydrogenation and coupling

For CO dissociation and hydrogenation, the computed reaction barriers and energies are shown in Table 1. The potential energy surface (PES) of CO hydrogenation and dissociation is shown in Fig. 2, and the transition state structures are shown in Fig. 3.

The lateral interaction of co-adsorbed C, CH, CH<sub>2</sub>, and O atom is 0.11, 0.46, and 0.24 eV, respectively. There are no lateral interaction of co-adsorbed CO, CHO, CH<sub>2</sub>O and H atom. The barrier of CO dissociation (CO → C + O, **1a**) and hydrogenation (CO + H → CHO, **1b**) is 2.98 and 1.39 eV, respectively, and **1b** is preferred kinetically, but both reactions are endothermic (0.32 eV vs. 0.98 eV). As the second step, the barrier of CHO dissociation (CHO → HC + O, **2a**) and hydrogenation (CHO + H → CH<sub>2</sub>O, **2b**) is 1.16 and 0.91 eV, respectively, and **2b** is preferred kinetically, while **2a** is favored thermodynamically (−0.05 eV). As the third step, the barrier of CH<sub>2</sub>O dissociation (CH<sub>2</sub>O → CH<sub>2</sub> + O, **3a**) and hydrogenation (CH<sub>2</sub>O → CH<sub>3</sub>O, **3b**) is 0.69 and 1.06 eV, respectively, and **3a** is preferred kinetically and thermodynamically (−0.09 eV). While CH<sub>2</sub> → CH + H is favored both kinetically (0.02 eV) and thermodynamically (−0.77 eV), CO hydrogenation prefers to form CH rather than CH<sub>3</sub>OH on Fe<sub>5</sub>C<sub>2</sub>(001). This differs from CO hydrogenation on flat and stepped Co(0001) [45], where the barrier of CH<sub>2</sub>O hydrogenation is 0.09 and 0.40 eV lower than that of CH<sub>2</sub>O dissociation, respectively, and the favored product is CH<sub>3</sub>OH instead of CH<sub>2</sub>.

To compare surface C hydrogenation, the reaction barriers of CH<sub>x</sub> hydrogenation on Fe<sub>5</sub>C<sub>2</sub>(001) studied previously [67] are included in Table 1. The PES of C hydrogenation is also shown in Fig. 2. Surface C hydrogenation has barrier of 0.52 eV, and is exothermic by 0.15 eV, the barrier of CO hydrogenation of 1.39 eV



**Fig. 2.** Potential energy surface of CO hydrogenation (pink line), dissociation (black line), and coupling reaction (blue line), and C hydrogenation (red line) on Fe<sub>5</sub>C<sub>2</sub>(001). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

is much higher. Therefore, surface C hydrogenation to form CH is more favorable than CO hydrogenation to form CHO. From the above comparison, surface CH is the most populated species, from either CO hydrogenation way or C hydrogenation way.

For CO coupling with C and CH, the reaction barriers and energies also are listed in Table 1, and the transition state structures of CH<sub>x</sub> + CO couplings are shown in Fig. 3. Since surface CH<sub>2</sub> dissociates easily into CH, the reactions of CH<sub>2</sub> + CO and CH<sub>3</sub> + CO are shown in Supporting Information. Other CH<sub>x</sub> + CH<sub>x</sub> coupling reactions also are shown in Supporting Information.

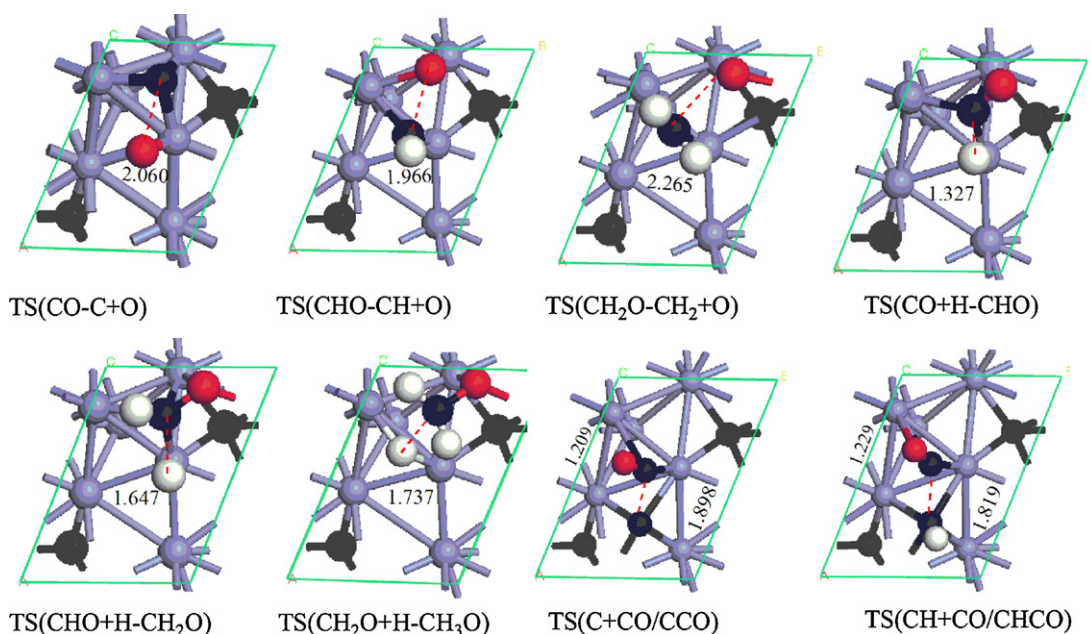
No lateral interaction between C with CO and H atom is found. The reaction of CO + C has barrier of 0.66 eV, and is slightly endothermic by 0.01 eV. The comparable barriers (0.66 and 0.52 eV) of C + CO (4a) and C + H (4b) show that both reactions are favored kinetically. However, 4b is more favored thermodynamically than 4a on the basis of the reaction energies (-0.15 eV vs. 0.01 eV). In addition, CH + CO coupling (4c) has barrier of 1.04 eV and is endothermic by 0.72 eV, and its back reactions is much favorable. No lateral interaction of co-adsorbed CH and CO is found. Therefore,

CH and CCO are the main surface species along with CO and H on Fe<sub>5</sub>C<sub>2</sub>(001) for the first step of FTS reactions.

### 3.2. CCO hydrogenation, dissociation and coupling

Along with CCO coupling with CH/CO, the competitive CCO hydrogenation to CHCO/CCHO, and dissociation into C<sub>2</sub> and O are included for comparison. The computed reaction barriers and energies are listed in Table 2. The PES of CCO coupling is shown in Fig. 4, and the transition state structures of CCO coupling are shown in Fig. 5. The PES of CCO hydrogenation and dissociation is shown in Fig. 6, and the transition state structures are shown in Fig. 7.

As shown in Table 2, the lateral interaction between CCO and CO, CH is 0.11 and 0.13 eV, respectively. CCO + CO (5a) and CCO + CH (5b) couplings have high barriers (1.43 eV vs. 1.71 eV), and they also are endothermic (0.49 eV vs. 0.05 eV), and these barriers are higher than that of CH dehydrogenation (0.67 eV, back reaction of 4b). In the transition state structures of CCO + CH (5a) and CCO + CO



**Fig. 3.** Transition state structures of CO hydrogenation, dissociation and coupling on Fe<sub>5</sub>C<sub>2</sub>(001).

**Table 2**  
Computed activation energy and reaction energy (eV) of C<sub>2</sub>–C<sub>1</sub> coupling on Fe<sub>5</sub>C<sub>2</sub>(001).

	$\Delta E^a$	$E_{a(f)}^b$	$E_{a(r)}^c$	$\Delta H_{(c)}^d$
CO + CCO → COCCO ( <b>5a</b> )	0.11	1.43	0.94	0.49
CH + CCO → CHCCO ( <b>5b</b> )	0.13	1.71	1.66	0.05
C(a) → C(b) ( <b>6a</b> )	0.00	0.62	0.61	0.01
C(b) → C(c) ( <b>6b</b> )	0.00	0.97	0.24	0.73
C <sub>(c)</sub> CCO → C <sub>(a)</sub> CCO ( <b>6c</b> )	0.00	0.32	1.30	−0.98
C <sub>(a)</sub> + CCO → C <sub>(a)</sub> CCO ( <b>7a</b> )	0.18	1.99	2.18	−0.19
C <sub>(c)</sub> + CCO → C <sub>(c)</sub> CCO ( <b>7b</b> )	0.19	0.53	0.60	−0.07
CCO + H → CHCO ( <b>8a</b> )	0.19	0.75	0.39	0.36
CCO + H → CCHO ( <b>8b</b> )	0.47	0.61	1.06	−0.45
CCO → CC + O ( <b>9</b> )	0.37	1.93	2.04	−0.11
CHCO + H → CH <sub>2</sub> CO ( <b>10a</b> )	0.30	0.49	0.28	0.21
CHCO + H → CHCHO <sub>(a)</sub> ( <b>10b</b> )	0.00	0.86	0.42	0.44
CCHO + H → CCH <sub>2</sub> O ( <b>10c</b> )	0.31	0.80	0.52	0.28
CCHO + H → CHCHO <sub>(b)</sub> ( <b>10d</b> )	0.26	1.31	0.0	1.31
CHCO → CCH <sub>(a)</sub> + O ( <b>11a</b> )	0.51	1.65	1.87	−0.22
CCHO → CCH <sub>(b)</sub> + O ( <b>11b</b> )	0.34	1.22	1.54	−0.32
CHCHO + H → CH <sub>2</sub> CHO ( <b>12a</b> )	0.0	0.81	0.32	0.49
CCH <sub>2</sub> O + H → CHCH <sub>2</sub> O ( <b>12b</b> )	0.0	1.09	0.23	0.86
CH <sub>2</sub> CO → CCH <sub>2(a)</sub> + O ( <b>13a</b> )	0.05	0.43	1.64	−1.21
CHCHO → CHCH + O ( <b>13b</b> )	0.43	0.39	1.09	−0.70
CCH <sub>2</sub> O → CCH <sub>2(b)</sub> + O ( <b>13c</b> )	0.03	0.82	1.55	−0.73

<sup>a</sup> For a reaction (A + B → AB),  $\Delta E = [E(A + B/\text{slab}) + E(\text{slab})] - (E(A/\text{slab}) + E(B/\text{slab}))$  for co-adsorbed A and B.

<sup>b</sup>  $E_{a(f)} = [E(\text{TS}) + E(\text{slab})] - (E((A + B)/\text{slab}))$  for the forward energy barrier.

<sup>c</sup>  $E_{a(r)} = [E(\text{TS}) + E(\text{slab})] - (E(\text{AB}/\text{slab}))$  for the reversed energy barrier.

<sup>d</sup>  $\Delta H_{(c)} = E(\text{AB}/\text{slab}) - E((A + B)/\text{slab})$  for reaction energy.

(**5b**), CH and CO are adsorbed at 2-fold sites, respectively. The C–C distances are 2.239 and 2.029 Å, respectively.

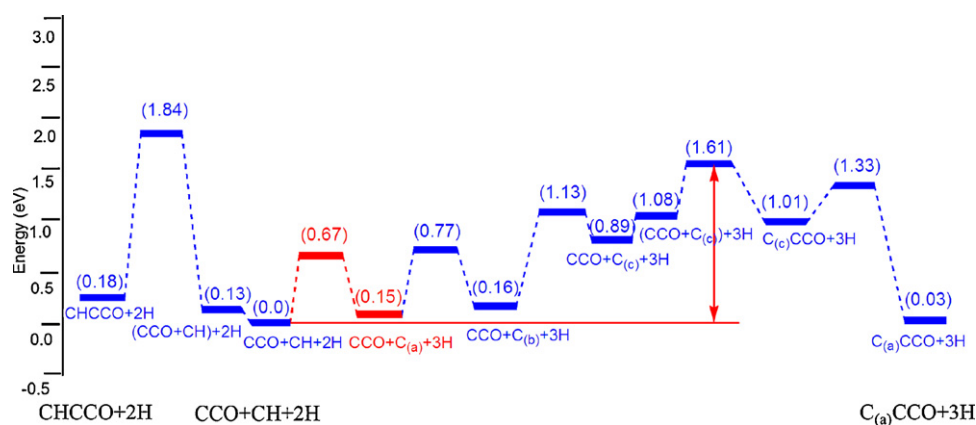
For CCO + C reactions, there are two adsorption modes of CCCO, and also two co-adsorption modes of CCO vs. C<sub>(a)</sub> and CCO vs. C<sub>(c)</sub>. The migration of C<sub>(a)</sub> and C<sub>(c)</sub> is a two-step process from C<sub>(a)</sub> → C<sub>(b)</sub> → C<sub>(c)</sub>, and the barriers of the two steps are 0.62 and 0.97 eV, respectively. Since the reaction energy of C<sub>(a)</sub> migration (**6a** and **6b**) to C<sub>(c)</sub> is endothermic by 0.74 eV (equal to  $E_{r(C(a) \rightarrow C(b))} + E_{r(C(b) \rightarrow C(c))}$ ), C<sub>(a)</sub> is more stable than C<sub>(c)</sub>.

For the two sites of C(a and c) coupling with CCO (**7a** and **7b**), the lateral interaction of CCO and C(a and c) is 0.18 and 0.19 eV, and the barriers are 1.99 and 0.53 eV, respectively. In the transition state structures of CCO + C(a and c), C atoms are adsorbed at 2-fold and 3-fold sites, respectively; and the C–C distances are 2.148 and 1.858 Å, respectively. The migration C<sub>(c)</sub>CCO to C<sub>(a)</sub>CCO (**6c**) has low barrier (0.32 eV) and is exothermic (−0.98 eV). Thus, C atom migration is the first step, followed by the coupling with CCO atom and migration into another more stable mode; CCO + C<sub>(a)</sub> → CCO + C<sub>(b)</sub> → CCO + C<sub>(c)</sub> → C<sub>(c)</sub>CCO → C<sub>(a)</sub>CCO. The effective barrier of this stepwise process is 1.61 eV, lower than the barrier of the one step reaction (2.17 eV).

For CCO hydrogenation and dissociation, the PES is shown in Fig. 6. Firstly, CCO + H → CHCO (**8a**)/CCHO (**8b**) are competitive, the lateral interaction of CCO and two-site H atom is 0.19 and 0.47 eV, and the barriers are 0.75 and 0.61 eV, respectively, and they are endothermic with 0.36 eV and exothermic with 0.45 eV, respectively). In the transition state structures of CCO + H → CHCO (**8a**)/CCHO (**8b**), H atom is adsorbed at top site in each case, and the C–H distance is 1.564 and 1.450 Å, respectively.

CCO dissociation into C<sub>2</sub> + O (**9**) has barrier of 1.93 eV and is exothermic (0.11 eV). The lateral interaction of co-adsorbed C<sub>2</sub> and O is 0.37 eV. Therefore, CCO hydrogenation is more favored. Secondly, the lateral interaction of CHCO and two-site H atoms is 0.30 and 0.0 eV, respectively. The barriers of CHCO hydrogenation into CH<sub>2</sub>CO (**10a**) and CHCHO<sub>(a)</sub> (**10b**) are 0.49 and 0.86 eV, respectively, while that of CHCO dissociation into CCH<sub>(a)</sub> + O (**11a**) is higher (1.65 eV), and the lateral interaction of CCH<sub>(a)</sub> and O atom is 0.51 eV. CHCO hydrogenation is more preferable than dissociation.

The lateral interaction of co-adsorbed CCHO and two-site H atoms is 0.31 and 0.26 eV, respectively. The barrier of CCHO hydrogenation into CCH<sub>2</sub>O (**10c**) is 0.80 eV, while CCHO hydrogenation into CHCHO<sub>(b)</sub> (**10d**) raises the energy with 1.31 eV, while the bar-



**Fig. 4.** Potential energy surface of CCO coupling (blue line) and CH dehydrogenation (red line) on Fe<sub>5</sub>C<sub>2</sub>(001). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

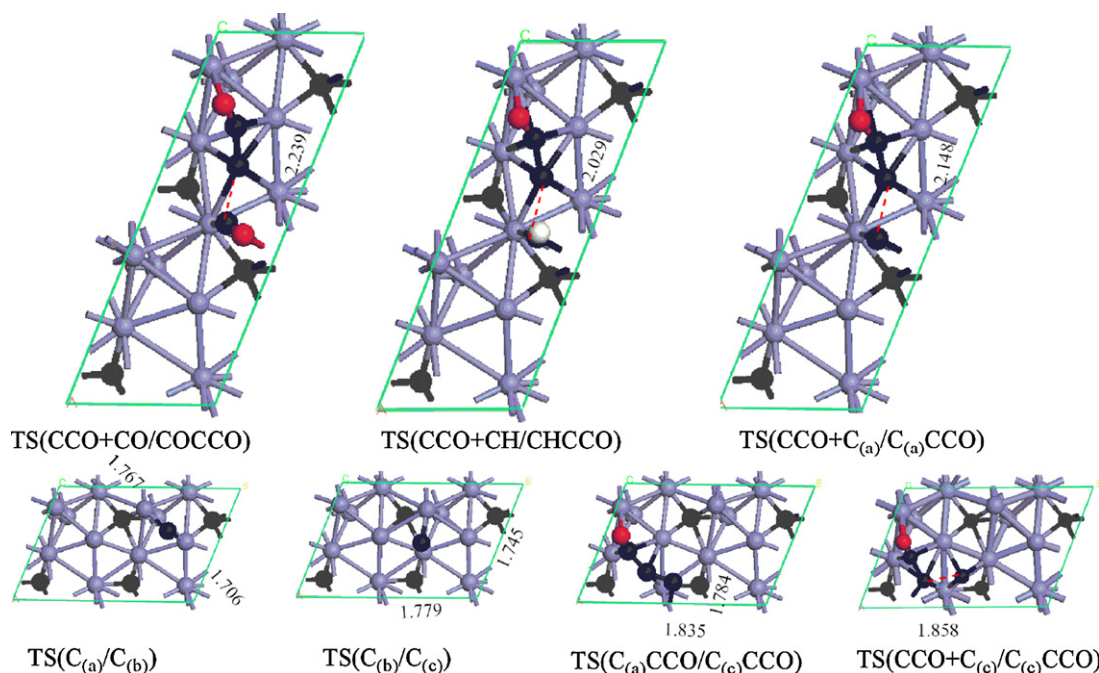


Fig. 5. Transition state structures of CCO coupling on  $\text{Fe}_5\text{C}_2(001)$ .

rier of CCHO dissociation into  $\text{CCH}_{(b)} + \text{O}$  (**11b**) is 1.22 eV. Thus, CCHO hydrogenation into  $\text{CCH}_2\text{O}$  is favored.

For the third step, since  $\text{CH}_2\text{CO}$  dissociation into  $\text{CH}_2\text{C} + \text{O}$  (**13a**) is preferred over hydrogenation [47],  $\text{CCH}_{2(a)}$  formation is favored. The lateral interaction between  $\text{CCH}_{2(a)}$ ,  $\text{CHCH}$ ,  $\text{CCH}_{2(b)}$ , and  $\text{O}$  atom is 0.05, 0.43, 0.03 eV, respectively. The barrier of CHCHO hydrogenation (**12a**) and dissociation (**13b**) is 0.81 and 0.39 eV, respectively; CHCHO dissociation into  $(\text{CHCH} + \text{O})$  is preferred over hydrogenation. The barrier of  $\text{CCH}_2\text{O}$  hydrogenation (**12b**) and dissociation (**13c**) is 1.09 and 0.82 eV, respectively,  $\text{CCH}_{2(b)}$  formation is also favored.

In the transition state structures of CCO, CHCO and CCHO dissociation, O atom is adsorbed at 2-fold in each case, and the C–O distance is 1.867, 2.239, and 2.000 Å, respectively. In the transition state structures of  $\text{CHCO} + \text{H} \rightarrow \text{CHCHO}/\text{CH}_2\text{CO}$  and  $\text{CCHO} + \text{H} \rightarrow \text{CCH}_2\text{O}$ , H atom is adsorbed at top sites in each case, and

the C–H distance is 1.324, 1.482 and 1.311 Å, respectively. Similarly, in the transition state structures of CHCHO and  $\text{CCH}_2\text{O}$  hydrogenation, H atom also is adsorbed at top sites in each case, and the C–H distance is 1.498 and 1.431 Å, respectively. In the transition state structures of CHCHO,  $\text{CH}_2\text{CO}$  and  $\text{CCH}_2\text{O}$  dissociation, O atom is adsorbed at 2-fold site in each case, and the C–O distance is 1.889, 1.811 and 1.981 Å, respectively.

For the initiation step,  $\text{C} + \text{CO}$  and  $\text{C} + \text{H}$  have low forward and reversed barrier (0.66 vs. 0.65, 0.52 eV vs. 0.67 eV). The forward and reversed rate constants of  $\text{C} + \text{CO}$  are  $1.30 \times 10^5$  and  $1.65 \times 10^5 \text{ s}^{-1}$  at 483 K, respectively, and the forward and reversed rate constants of  $\text{C} + \text{H}$  are  $2.31 \times 10^6$  and  $1.02 \times 10^5 \text{ s}^{-1}$  at 483 K, respectively. Furthermore, among all CH reaction pathways,  $\text{CH} + \text{CO}$ ,  $\text{CH} + \text{H}$ ,  $\text{CH} + \text{CCO}$  and  $\text{C} + \text{CCO}$ , the rate constant of  $\text{C}_{(c)} + \text{CCO}$  is the largest with  $2.95 \times 10^6 \text{ s}^{-1}$ . Therefore, the most favored pathway is  $\text{CH} \rightarrow \text{C} + \text{H}$  and  $\text{C} + \text{CCO}$ . On the other hand, among all CCO reaction

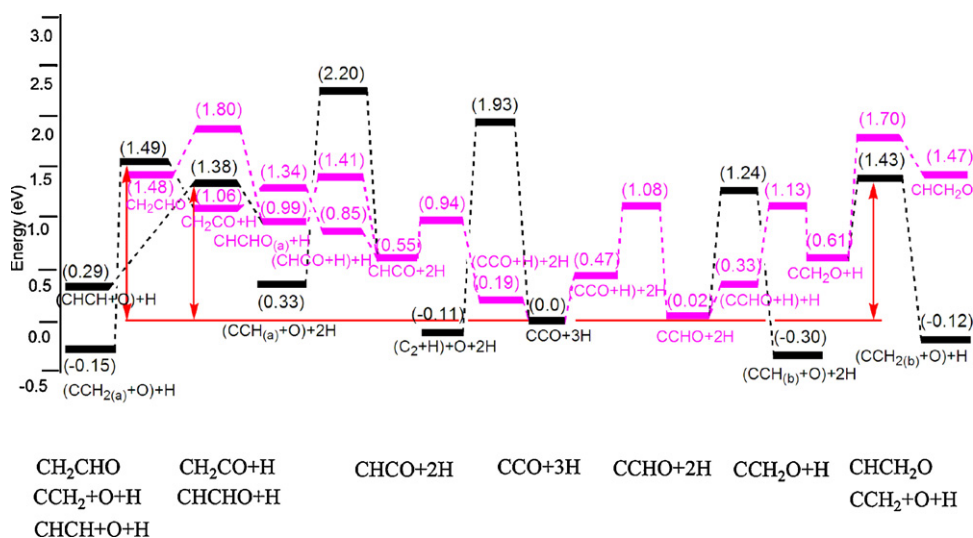


Fig. 6. Potential energy surface of CCO hydrogenation (pink line) and  $\text{CCH}_x\text{O}$  dissociation (black line) on  $\text{Fe}_5\text{C}_2(001)$ . (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

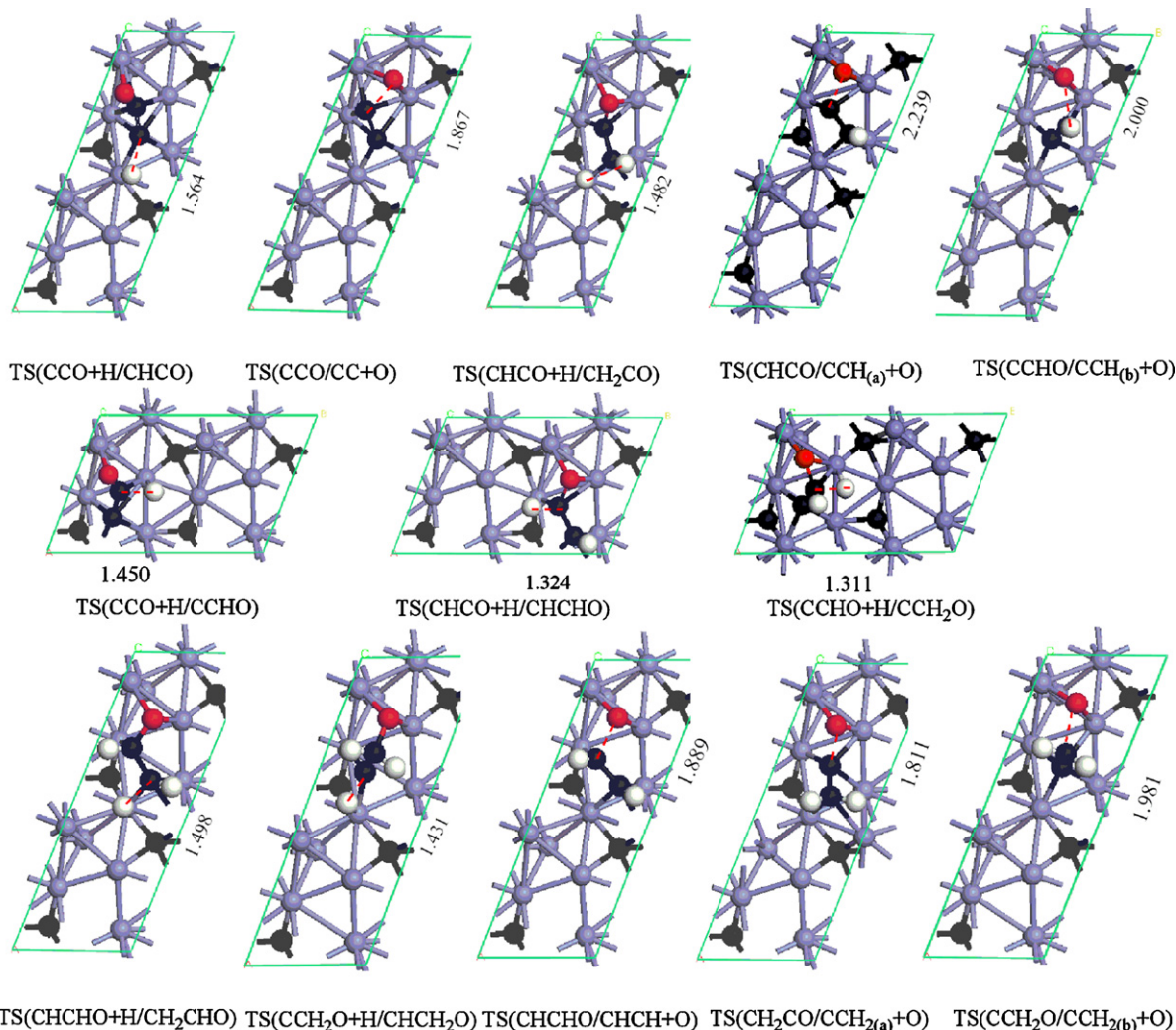


Fig. 7. Transition state structures of CCO hydrogenation and dissociation on  $\text{Fe}_5\text{C}_2(001)$ .

pathways,  $\text{CCO} + \text{H}$ ,  $\text{CCO} + \text{CO}$ ,  $\text{CCO} + \text{CH}$  and  $\text{CCO} + \text{C}$ , the rate constants of  $\text{CCO} + \text{H} \rightarrow \text{CHCO}/\text{CCHO}$  and  $\text{CCO} + \text{C}_{(\text{c})} \rightarrow \text{CCCO}_{(\text{b})}$  are high with  $1.49 \times 10^4$ ,  $4.31 \times 10^5$ , and  $2.95 \times 10^6 \text{ s}^{-1}$  (Table 3). Therefore,  $\text{C} + \text{CO}/\text{CCO}$  will not shift back to the left, while  $\text{C} + \text{H}/\text{CH}$  will shift back to the left to couple with CCO.

Consequently, the pathways of CCO hydrogenation and dissociation is  $\text{CCO} + 2\text{H} \rightarrow \text{CHCO} + \text{H} \rightarrow \text{CH}_2\text{CO}/\text{CHCHO} \rightarrow \text{CCH}_{2(\text{a})}/\text{CHCH} + \text{O}$  and  $\text{CCO} + 2\text{H} \rightarrow \text{CCHO} + \text{H} \rightarrow \text{CCH}_2\text{O} \rightarrow \text{CCH}_{2(\text{b})} + \text{O}$ . The effective barrier of  $\text{CCH}_{2(\text{a})}$ ,  $\text{CCH}_{2(\text{b})}$ , and  $\text{CHCH}$  formation is similar with 1.49, 1.38 and 1.43 eV, respectively, and the formation of  $\text{CCH}_{2(\text{a})}$ ,  $\text{CCH}_{2(\text{b})}$  and  $\text{CHCH}$  is preferable. Since the effective barrier of CCO coupling reactions of 1.61 eV is close to those of hydrogenation reactions, both CCO coupling and hydrogenation are possible along with CCCO,  $\text{CCH}_2$  and  $\text{CHCH}$  as intermediates.

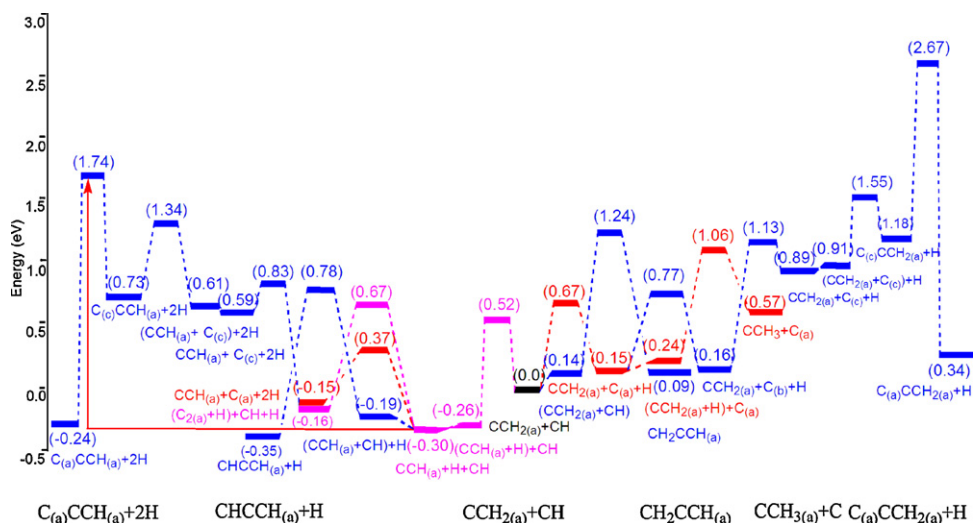
### 3.3. $\text{CCH}_2$ reaction pathway

For  $\text{CCH}_{2(\text{a})}$  coupling, hydrogenation and dehydrogenation, and  $\text{CCH}$  coupling and dehydrogenation, the barriers and reaction energies are shown in Table 4. The PES of  $\text{CCH}_2$  reaction is shown in Fig. 8. The transition state structures are shown in Fig. 9. The coupling reactions of  $\text{CCH}_{2(\text{a})} + \text{CH}$  (**14a**) and  $\text{CCH}_{2(\text{a})} + (\text{CO})$  (**14b**) are computed at first, and this is because that  $\text{CCH}_{2(\text{a})}$ ,  $\text{CH}$  and  $\text{CO}$  are the main species. The lateral interaction of co-adsorbed  $\text{CCH}_{2(\text{a})}$  and  $\text{CH}$  vs.  $\text{CO}$  is 0.14 and 0.10 eV, respectively.  $\text{CCH}_{2(\text{a})} + \text{CH}$  (**14a**) and  $\text{CCH}_{2(\text{a})} + \text{CO}$  (**14b**) have barriers of 1.10 and 0.86 eV, respectively, and are exothermic with 0.05 and endothermic with 0.54 eV, respectively, and the back reaction of  $\text{CCH}_{2(\text{a})} + \text{CO}$  is much easier. In addition, we investigated the  $\text{CCH}_{2(\text{a})}$  coupling with  $\text{C}$  atoms. Since

Table 3

The rate constants of hydrogenation and coupling reactions of CO species on  $\text{Fe}_5\text{C}_2(001)$  at 483 and 543 K.

	$k_{\text{f}} (\text{s}^{-1}), T=483 \text{ K}$	$k_{\text{r}} (\text{s}^{-1}), T=483 \text{ K}$	$k_{\text{f}} (\text{s}^{-1}), T=543 \text{ K}$	$k_{\text{r}} (\text{s}^{-1}), T=543 \text{ K}$
$\text{C} + \text{H} \rightarrow \text{CH}$	$2.31 \times 10^6$	$1.02 \times 10^5$	$9.73 \times 10^6$	$6.04 \times 10^5$
$\text{C} + \text{CO} \rightarrow \text{CCO}$	$1.30 \times 10^5$	$1.65 \times 10^5$	$7.48 \times 10^6$	$9.27 \times 10^5$
$\text{CH} + \text{H} \rightarrow \text{CH}_2$	$5.7 \times 10^3$	$6.18 \times 10^{11}$	$4.65 \times 10^4$	$6.52 \times 10^{11}$
$\text{CH} + \text{CO} \rightarrow \text{CHCO}$	$1.41 \times 10^1$	$4.58 \times 10^8$	$2.22 \times 10^2$	$1.07 \times 10^9$
$\text{CH} + \text{CCO} \rightarrow \text{CHCCO}$	$1.43 \times 10^{-6}$	$1.13 \times 10^{-6}$	$1.34 \times 10^{-4}$	$3.91 \times 10^{-4}$
$\text{CO} + \text{CCO} \rightarrow \text{COCCO}$	$1.19 \times 10^{-3}$	$1.55 \times 10^2$	$5.34 \times 10^{-2}$	$1.88 \times 10^3$
$\text{C}_{(\text{a})} + \text{CCO} \rightarrow \text{CCCO}_{(\text{a})}$	$1.72 \times 10^{-9}$	$1.79 \times 10^{-11}$	$3.38 \times 10^{-7}$	$5.93 \times 10^{-9}$
$\text{C}_{(\text{c})} + \text{CCO} \rightarrow \text{CCCO}_{(\text{b})}$	$2.95 \times 10^6$	$5.49 \times 10^5$	$1.20 \times 10^7$	$2.69 \times 10^6$
$\text{CCO} + \text{H} \rightarrow \text{CHCO}$	$1.49 \times 10^4$	$8.52 \times 10^7$	$1.09 \times 10^5$	$2.39 \times 10^8$
$\text{CCO} + \text{H} \rightarrow \text{CCHO}$	$4.31 \times 10^5$	3.32	$2.01 \times 10^6$	$6.17 \times 10^1$



**Fig. 8.** Potential energy surface of  $CCH_{2(a)}$  hydrogenation (red line, also CH dehydrogenation), dehydrogenation (pink line), and coupling (blue line). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

there are two adsorbed modes of  $CCCH_{2(a)}$ , there are also two co-adsorption configurations of  $CCH_2$  and C with the lateral interaction by 0.08 and 0.02 eV, respectively. For  $C_a$  (**15a**) and  $C_c$  (**15b**) coupling with  $CCH_{2(a)}$ , the barrier is 1.31 and 0.64 eV, respectively. The migration of  $C_{(c)}CCH_{2(a)}$  to  $C_{(a)}CCH_{2(a)}$  (**15c**) has barrier of 1.49 eV, and is exothermic (0.84 eV).

Compared with  $CCH_2$  chain propagation,  $CCH_2$  can also first dissociate into CCH, which can couple with CH, C or CO. The reaction pathway of  $CCH_2$  hydrogenation, dehydrogenation and CCH coupling reactions are shown in Fig. 8; and the transition state structures are shown in Fig. 9. For the co-adsorbed  $CCH_2$ , H, and C species, there are two possible reaction pathways, one is  $CCH_2$  hydrogenation, and the other is C migration ( $C_{(a)} \rightarrow C_{(b)}$ ) followed by  $CCH_2$  coupling. The lateral interaction of  $CCH_{2(a)}$  and two-site H is 0.0 and 0.09 eV, respectively.  $CCH_{2(a)} + H \rightarrow CHCH_2$  (**16a**) has no barrier, and  $CCH_{2(a)} + H \rightarrow CCH_3$  (**16b**) has barrier of 0.82 eV, and they are endothermic (0.74 and 0.33 eV, respectively); therefore their back reactions are much easier. Compared with the barrier and reaction energy of  $C_{(a)}$  migration (0.62/0.01 eV, Table 2),  $C_{(a)}$  migration, instead of  $CCH_{2(a)}$  hydrogenation, is favored.  $CCH_{2(a)}$  dehydrogenation into  $CCH_{(a)} + H$  (**16c**) has barrier of 0.52 eV, and is exothermic by 0.26 eV. The lateral interaction of  $CCH_{(a)}$  and H is 0.04 eV. Compared with  $CCH_{2(a)}$  coupling with CH/CO,  $CCH_{2(a)}$  dehydrogenation into  $CCH_{(a)}$  is more favored.

For CCH coupling with CH (**17a**), CO (**17b**) and  $C_{(a,c)}$  (**18a**, **18b**), the lateral interaction of CCH with CH, CO, and  $C_{(a,c)}$  is 0.11, 0.10, 0.07, 0.02 eV, respectively.  $CCH + C_{(c)}$  has the lowest barrier (0.73 eV), compared to those of  $CCH + CH/CO/C_{(a)}$  (0.97, 0.89 and 1.27 eV, respectively). The migration of  $C_{(c)}CCH_{(a)}$  to  $C_{(a)}CCH_{(a)}$  (**18e**) has barrier of 1.01 eV, and is exothermic by 0.97 eV. In addition, the barrier of CCH dehydrogenation into  $C_{2(a)} + H$  (**19**) is 0.97 eV, higher than that of CCH coupling and hydrogenation (0.73 and 0.78 eV). The lateral interaction of  $C_{2(a)}$  and H is 0.12 eV. Thus, CCH is preferred to couple with C atom. For the process of  $CCH_{2(a)}$  dehydrogenation followed by coupling with C atom, the effective barrier of  $C_{(a)}CCH_{(a)}$  is 2.04 eV. For co-adsorbed  $CCH_{2(a)}$  and CH, the favored pathway is  $CCH_2 + CH \rightarrow CCH + CH + H \rightarrow CCH + C + 2H \rightarrow CCCH + 2H$ .

In the transition state structures of  $CCH_{2(a)} + CH/CO/C(a, c)$ , CH, CO, and C atoms are adsorbed at 2-fold sites, and the C–C distance is 2.169, 2.280, 2.036, and 1.862 Å, respectively. In the transition state structures of  $CCH_{2(a)}$  hydrogenation and dehydrogenation reactions, the C–H distance is 1.439 and 1.467 Å, respectively. In the transition state structures of  $CCH_{(a)} + CH/CO/C(a, c)$ , CH and CO are adsorbed at 2-fold sites, while C atoms are adsorbed at 2-fold, and 3-fold sites, respectively; and the C–C distance is 2.287, 1.859, 2.036 and 1.805 Å, respectively. In the transition state structure of  $CCH_{(a)}$  dehydrogenation, the C–H distance is 1.518 Å.

**Table 4**  
Computed activation energy and reaction energy (eV) of  $CCH_{2(a)}$  hydrogenation and C–C coupling of  $CCH_{2(a)}$  on  $Fe_5C_2(001)$ .

	$\Delta E^a$	$E_{a(f)}^b$	$E_{a(r)}^c$	$\Delta H(c)^d$
$CCH_{2(a)} + CH \rightarrow CHCCH_{2(a)}$ ( <b>14a</b> )	0.14	1.10	1.15	−0.05
$CCH_{2(a)} + CO \rightarrow COCCH_{2(a)}$ ( <b>14b</b> )	0.10	0.86	0.32	0.54
$CCH_{2(a)} + C_{(a)} \rightarrow C_{(a)}CCH_{2(a)}$ ( <b>15a</b> )	0.08	1.31	1.45	−0.14
$CCH_{2(a)} + C_{(c)} \rightarrow C_{(c)}CCH_{2(a)}$ ( <b>15b</b> )	0.02	0.64	0.37	0.27
$C_{(c)}CCH_{2(a)} \rightarrow C_{(a)}CCH_{2(a)}$ ( <b>15c</b> )	0.00	1.49	2.33	−0.84
$CCH_{2(a)} + H \rightarrow CHCH_2$ ( <b>16a</b> )	0.00	0.74	0.0	0.74
$CCH_{2(a)} + H \rightarrow CCH_3$ ( <b>16b</b> )	0.09	0.82	0.49	0.33
$CCH_{2(a)} \rightarrow CCH_{(a)} + H$ ( <b>16c</b> )	0.04	0.52	0.78	−0.26
$CCH_{(a)} + CH \rightarrow CHCCH_{(a)}$ ( <b>17a</b> )	0.11	0.97	1.13	−0.16
$CCH_{(a)} + CO \rightarrow COCCH_{(a)}$ ( <b>17b</b> )	0.10	0.89	0.29	0.60
$CCH_{(a)} + C_{(a)} \rightarrow C_{(a)}CCH_{(a)}$ ( <b>18a</b> )	0.07	1.27	1.51	−0.24
$CCH_{(a)} + C_{(c)} \rightarrow C_{(c)}CCH_{(a)}$ ( <b>18b</b> )	0.02	0.73	0.61	0.12
$C_{(c)}CCH_{(a)} \rightarrow C_{(a)}CCH_{(a)}$ ( <b>18c</b> )	0.00	1.01	1.98	−0.97
$CCH_{(a)} \rightarrow C_{2(a)} + H$ ( <b>19</b> )	0.12	0.97	0.83	0.14

<sup>a</sup> For a reaction ( $A + B \rightarrow AB$ ),  $\Delta E = [E(A + B/\text{slab}) + E(\text{slab})] - (E(A/\text{slab}) + E(B/\text{slab}))$  for co-adsorbed A and B.

<sup>b</sup>  $E_{a(f)} = [E(\text{TS}) + E(\text{slab})] - (E((A + B)/\text{slab}))$  for the forward energy barrier.

<sup>c</sup>  $E_{a(r)} = [E(\text{TS}) + E(\text{slab})] - (E(AB/\text{slab}))$  for the reversed energy barrier.

<sup>d</sup>  $\Delta H(c) = E(AB/\text{slab}) - E((A + B)/\text{slab})$  for reaction energy.



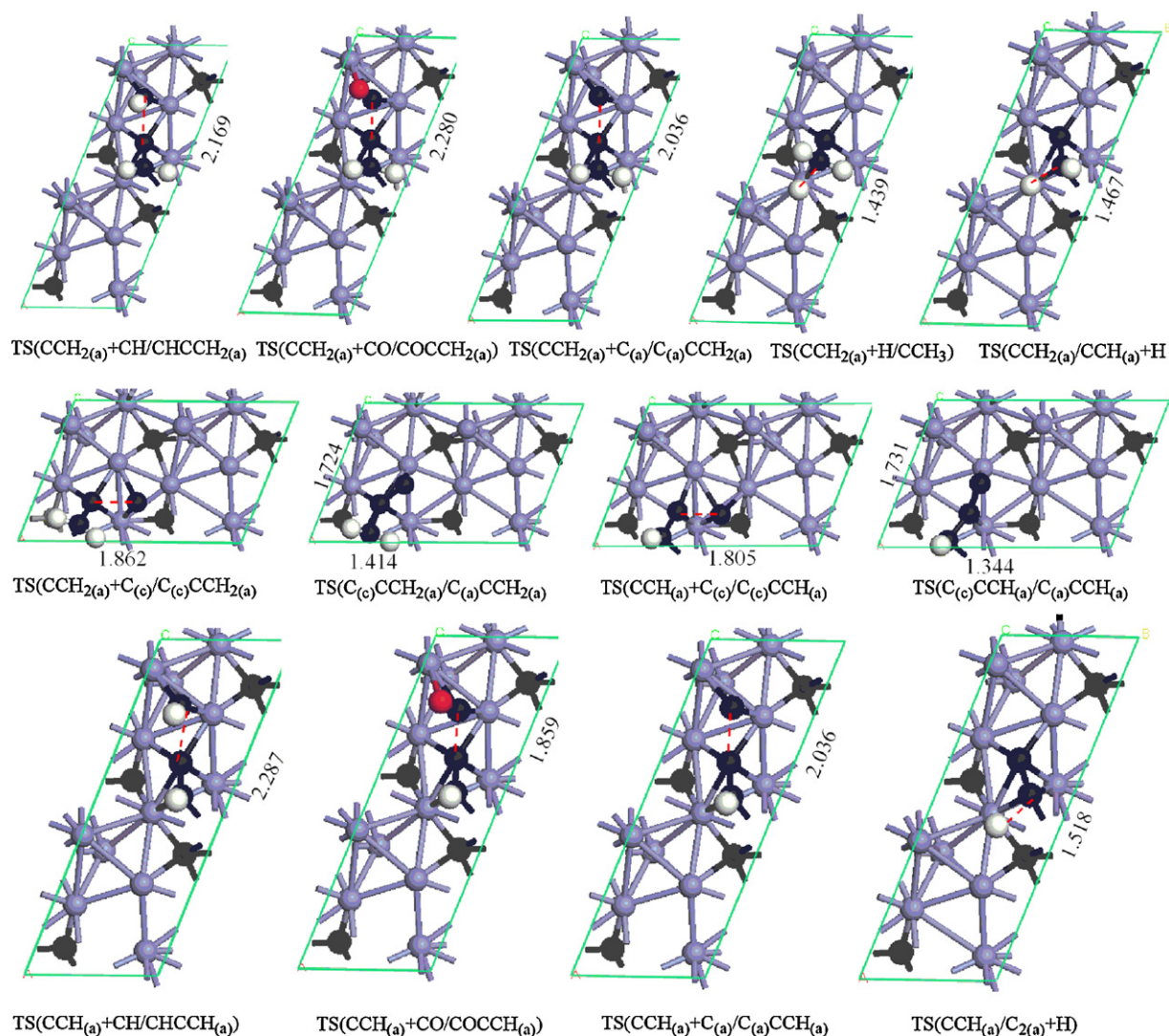


Fig. 9. Transition state structures of  $CCH_{2(a)}$  hydrogenation, dehydrogenation and coupling on  $Fe_5C_2(001)$ .

For  $CCH_{2(b)}$  coupling, hydrogenation and dehydrogenation, and CCH coupling and dehydrogenation, the barriers, reaction energies are given in Table 5. The potential energy surface of  $CCH_2$  reaction is shown in Fig. 10, and the transition state structures are shown in Fig. 11. For the co-adsorbed  $CCH_{2(b)}$ , CH, and CO, the lateral inter-

action of  $CCH_{2(b)}$  with CH and CO is 0.10 and 0.05 eV, respectively.  $CCH_{2(b)} + CH$  (**20a**) and  $CCH_{2(a)} + CO$  (**20b**) have barriers of 1.71 and 1.36 eV, respectively, and are endothermic (0.14 and 0.43 eV, respectively). In addition, we investigated  $CCH_{2(a)}$  coupling with C atoms. Since there are two adsorbed modes of  $CCCH_{2(b)}$ , there are

Table 5

Computed activation energy and reaction energy (eV) of  $CCH_{2(b)}$  hydrogenation and C–C coupling of  $CCH_{2(b)}$  on  $Fe_5C_2(001)$ .

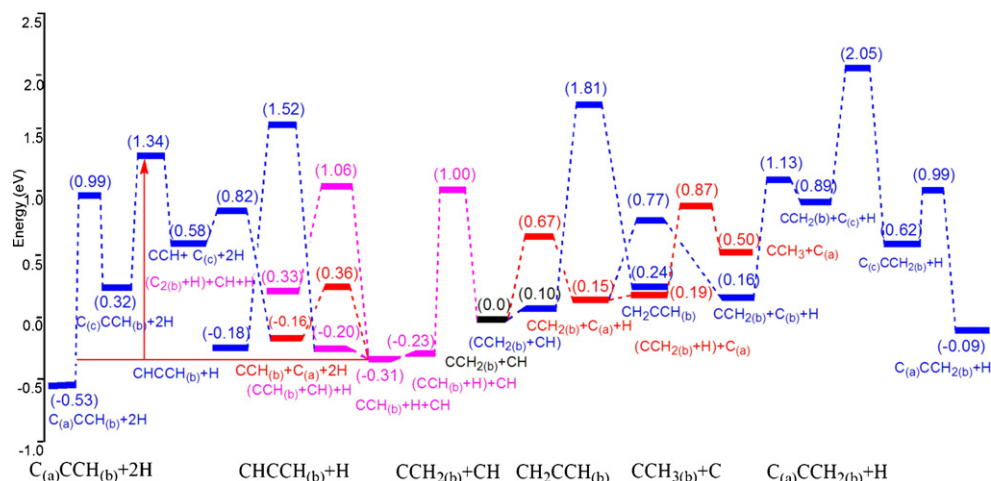
	$\Delta E^a$	$E_{a(f)}^b$	$E_{a(r)}^c$	$\Delta H_{(c)}^d$
$CH + CCH_{2(b)} \rightarrow CHCCH_{2(b)}$ ( <b>20a</b> )	0.10	1.71	1.57	0.14
$CO + CCH_{2(b)} \rightarrow COCCH_{2(b)}$ ( <b>20b</b> )	0.05	1.36	0.93	0.43
$C_{(a)} + CCH_{2(b)} \rightarrow C_{(a)}CCH_{2(b)}$ ( <b>21a</b> )	0.11	2.12	2.49	-0.37
$C_{(c)} + CCH_{2(b)} \rightarrow C_{(c)}CCH_{2(b)}$ ( <b>21b</b> )	0.00	1.16	1.43	-0.27
$C_{(c)}CCH_{2(b)} \rightarrow C_{(a)}CCH_{2(b)}$ ( <b>21c</b> )	0.00	0.37	1.08	-0.71
$CCH_{2(b)} + H \rightarrow CCH_{3(b)}$ ( <b>22a</b> )	0.04	0.68	0.37	0.31
$CCH_{2(b)} \rightarrow CCH_{(b)} + H$ ( <b>22b</b> )	0.08	1.00	1.23	-0.23
$CH + CCH_{(b)} \rightarrow CHCCH_{(b)}$ ( <b>23a</b> )	0.11	1.72	1.70	0.02
$CO + CCH_{(b)} \rightarrow COCCH_{(b)}$ ( <b>23b</b> )	0.06	1.42	0.94	0.48
$C_{(a)} + CCH_{(b)} \rightarrow C_{(a)}CCH_{(b)}$ ( <b>23c</b> )	0.10	2.10	2.45	-0.35
$C_{(c)} + CCH_{(b)} \rightarrow C_{(c)}CCH_{(b)}$ ( <b>23d</b> )	0.00	0.76	1.02	-0.26
$C_{(c)}CCH_{(b)} \rightarrow C_{(a)}CCH_{(b)}$ ( <b>23e</b> )	0.00	0.67	1.52	-0.85
$CCH_{(b)} \rightarrow C_{2(b)} + H$ ( <b>24</b> )	0.21	1.37	0.73	0.64

<sup>a</sup> For a reaction  $(A + B \rightarrow AB)$ ,  $\Delta E = [E(A + B/\text{slab}) + E(\text{slab})] - (E(A/\text{slab}) + E(B/\text{slab}))$  for co-adsorbed A and B.

<sup>b</sup>  $E_{a(f)} = [E(\text{TS}) + E(\text{slab})] - E((A + B)/\text{slab})$  for the forward energy barrier.

<sup>c</sup>  $E_{a(r)} = [E(\text{TS}) + E(\text{slab})] - E(AB/\text{slab})$  for the reversed energy barrier.

<sup>d</sup>  $\Delta H_{(c)} = E(AB/\text{slab}) - E((A + B)/\text{slab})$  for reaction energy.



**Fig. 10.** Potential energy surface of CCH<sub>2(b)</sub> hydrogenation (red line, also CH dehydrogenation), dehydrogenation (pink line), and coupling (blue line) on Fe<sub>5</sub>C<sub>2</sub>(001). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

also two co-adsorption modes of CCH<sub>2</sub> and C(a,c) with the lateral interaction by 0.11 and 0.0 eV, respectively. For CCH<sub>2(b)</sub>+C<sub>(a)</sub> (**21a**) and CCH<sub>2(b)</sub>+C<sub>(c)</sub> (**21b**), the barrier is 2.12 and 1.16 eV, respectively; while C<sub>(c)</sub>CCH<sub>2(a)</sub> to C<sub>(a)</sub>CCH<sub>2(a)</sub> (**21c**) migration has low barrier of 0.37 eV, and is exothermic (0.71 eV).

For the co-adsorbed CCH<sub>2(b)</sub>, H and C<sub>(a)</sub> species, there are also two possible reaction pathways, one is CCH<sub>2(b)</sub> hydrogenation and the other one is C migration (C<sub>(a)</sub> → C<sub>(b)</sub>) followed by CCH<sub>2</sub> coupling. The lateral interaction of CCH<sub>2(b)</sub> and H is 0.04 eV. CCH<sub>2(b)</sub>+H → CCH<sub>3</sub> (**22a**) has barrier of 0.68 eV, and is endothermic with 0.31 eV, and the back reaction is much easier. The energy barrier and reaction energy of C<sub>(a)</sub> to C<sub>(b)</sub> migration (**6a**) is 0.62 and 0.01 eV, respectively. This indicates C<sub>(a)</sub> migration is more favored than CCH<sub>2(b)</sub> hydrogenation.

For the co-adsorbed CCH<sub>2(b)</sub> and CH species, CCH<sub>2(b)</sub> coupling with CH and dehydrogenation have been calculated. The energy barrier of CCH<sub>2(b)</sub> → CCH<sub>(b)</sub>+H (**22b**) is 1.00 eV, lower than that of CCH<sub>2(a)</sub> coupling with CH (1.10 eV, **14a**) and higher than that of CH dehydrogenation (0.67 eV). The lateral interaction of CCH<sub>(b)</sub> and H is 0.08 eV. CCH<sub>2(b)</sub> dehydrogenation is exothermic with 0.23 eV, while CCH<sub>2(b)</sub> coupling with CH and CH dehydrogenation are endothermic with 0.14 and 0.15 eV, respectively.

The lateral interaction of CCH<sub>(b)</sub> with CH, CO, and C<sub>(a)</sub> atom is 0.11, 0.06, and 0.10 eV, respectively, while there is no lateral interaction of CCH<sub>(b)</sub> and C<sub>(c)</sub>. CCH<sub>(b)</sub>+CH (**23a**) and CCH<sub>(b)</sub>+CO (**23b**) couplings have barriers of 1.72 and 1.42 eV, respectively, and are endothermic with 0.02 and 0.48 eV, respectively. CCH<sub>(b)</sub>+C<sub>(c)</sub> (**23d**) coupling has much lower barrier than CCH<sub>(b)</sub>+C<sub>(a)</sub> (**23c**) coupling (0.76 eV vs. 2.10 eV). The migration of C<sub>(c)</sub>CCH<sub>(a)</sub> to C<sub>(a)</sub>CCH<sub>(a)</sub> (**23e**) has barrier of 0.67 eV, and is exothermic (0.85 eV). Thus, the pathway of CCH<sub>(b)</sub> coupling is C<sub>(a)</sub> → C<sub>(b)</sub> → C<sub>(c)</sub>, CCH<sub>2(b)</sub>+C<sub>(c)</sub> → C<sub>(c)</sub>CCH<sub>(b)</sub> → C<sub>(a)</sub>CCH<sub>(b)</sub>. In addition, CCH dehydrogenation (**24**) has barrier of 1.37 eV, higher than that of CCH coupling and hydrogenation (0.76 and 1.23 eV). The lateral interaction of C<sub>2(b)</sub> and H is 0.21 eV. Thus, CCH is preferred to coupling with C atom. For the reaction of CCH<sub>2(b)</sub>, the effective barrier of C<sub>(a)</sub>CCH<sub>(b)</sub> formation is 1.65 eV, lower than that of C<sub>(a)</sub>CCH<sub>2(b)</sub> formation (2.04 eV). Thus, C<sub>(a)</sub>CCH<sub>(b)</sub> formation is favored. Consequently, CCH<sub>2(a)</sub> and CCH<sub>2(b)</sub> are favored to couple with C.

In the transition state structures of CCH<sub>2(b)</sub>+CH/CO/C<sub>(a,c)</sub>, CH, CO and C atoms are adsorbed at 2-fold sites, and the C–C distance is 2.050, 1.829, 2.051 and 2.021 Å, respectively. In the transition state structures of CCH<sub>2(b)</sub> hydrogenation and dehydrogenation reactions, the C–H distance is 1.516 and 1.655 Å, respectively. In the transition state structures of CCH<sub>(a)</sub>+CH/CO/C<sub>(a,c)</sub>, CH and CO are

adsorbed at 2-fold sites, while C atoms are adsorbed at 2-fold, and 3-fold sites, respectively. The C–C distance is 2.077, 1.888, 2.130 and 1.830 Å, respectively. In the transition state structure of CCH<sub>(b)</sub> dehydrogenation, the C–H distance is 1.580 Å.

### 3.4. CHCH reaction pathway

For CHCH coupling, hydrogenation, and dehydrogenation reactions, the barriers, reaction energies are shown in Table 6, and the transition state structures are shown in Fig. 12. The lateral interaction of CHCH with CH and H are both 0.10 eV, while there is no lateral interaction of CHCH and CO. CHCH+CO/CH (**25a**, **25b**) have very high barrier of 1.99 and 2.11 eV, and CHCH+H (**25c**) has barrier of 0.72 eV, and both reactions are endothermic. In contrast, CHCH dehydrogenation into CCH<sub>(b)</sub> (**25d**) has lower barrier (0.52 eV) and is exothermic (−0.76 eV). The lateral interaction of CCH<sub>(b)</sub> and H is 0.04 eV. In addition, The adsorption energy of CHCH is −2.88 eV, similarly, the adsorption energies of CHCH on Pt(111) and (211) are −2.87 eV and −2.83 eV [72]. Therefore, the direction desorption of CHCH need 2.88 eV, and this is rather difficult. Compared with CHCH hydrogenation, dehydrogenation, desorption, and coupling with CH and CO, the CHCH dehydrogenation is the most favored.

In the transition state structure of CHCH+CO/CH, CO and CH are adsorbed at 2-fold sites, the C–C distance is 2.055 and 2.080 Å. In the transition state structures of CHCH hydrogenation and dehydrogenation reactions, the C–H distance is 1.490 and 1.581 Å, respectively.

### 3.5. CO adsorption and dissociation on the vacancy sites

During surface C hydrogenation and coupling, vacancy sites will emerge on Fe<sub>5</sub>C<sub>2</sub>(001) surface, and filling the vacancy sites is the key step to regenerate the active sites and maintain the catalyst stability. Therefore CO adsorption and dissociation on the vacancy sites are investigated. The energy data on the vacancy Fe<sub>5</sub>C<sub>2</sub>(001) are listed in Table 7, and the transition state structures of CO dissociation and hydrogenation are shown in Fig. 13. CO is adsorbed at 4-fold vacancy site (CO<sub>(v)</sub>), and the adsorption energy is −2.29 eV. For comparison, the adsorption energy of CO on perfect Fe<sub>5</sub>C<sub>2</sub>(001) is −2.10 eV [73]. The barrier of CO dissociation and hydrogenation at vacancy site (**1a**\*, **1b**\*) is 1.16 and 0.77 eV, respectively, much lower than those (2.98 and 1.39 eV) on the perfect surface (**1a**, **1b**). Under surface CCH<sub>2</sub> co-adsorption, CO dissociation at vacancy site has barrier of 1.21 eV, and is exothermic by 0.39 eV. For comparison, CO dissociation without co-adsorbed CCH<sub>2</sub> has barrier of

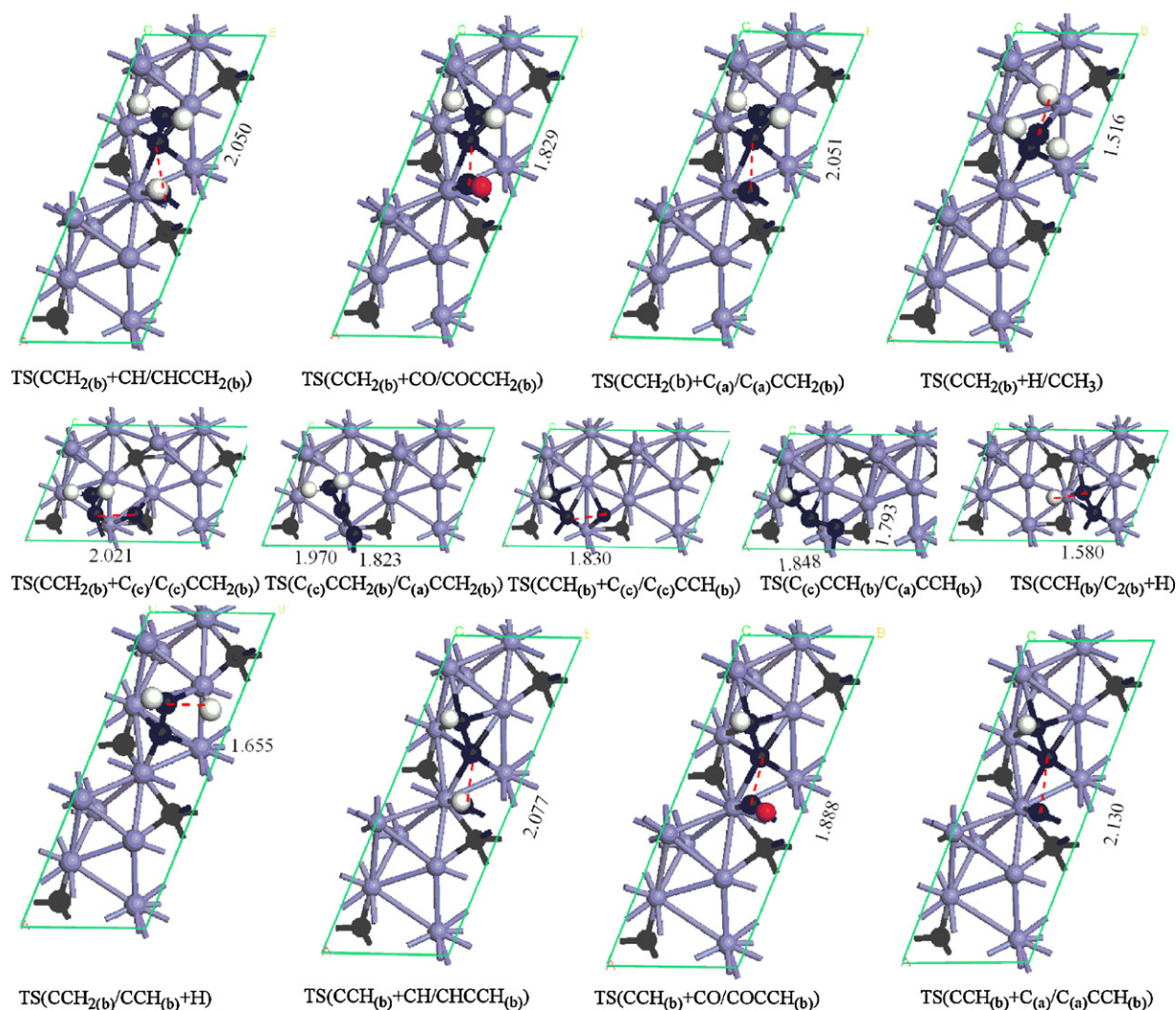


Fig. 11. Transition state structures of CCH<sub>2</sub>(b) hydrogenation, dehydrogenation and coupling on Fe<sub>5</sub>C<sub>2</sub>(001).

Table 6

Computed activation energy and reaction energy (eV) of CHCH hydrogenation and C–C coupling of CHCH on Fe<sub>5</sub>C<sub>2</sub>(001).

	$\Delta E^a$	$E_{a(f)}^b$	$E_{a(r)}^c$	$\Delta H_{(c)}^d$
CHCH + CO → COCHCH ( <b>25a</b> )	0.00	1.99	1.36	0.63
CHCH + CH → CHCHCH ( <b>25b</b> )	0.10	2.11	1.90	0.21
CHCH + H → CHCH <sub>2</sub> ( <b>25c</b> )	0.10	0.72	0.28	0.44
CHCH → CCH <sub>2</sub> (b) + H ( <b>25d</b> )	0.04	0.52	1.28	−0.76

<sup>a</sup> For a reaction (A + B → AB),  $\Delta E = [E(A + B/\text{slab}) + E(\text{slab})] - (E(A/\text{slab}) + E(B/\text{slab}))$  for co-adsorbed A and B.

<sup>b</sup>  $E_{a(f)} = [E(\text{TS}) + E(\text{slab})] - (E((A + B)/\text{slab}))$  for the forward energy barrier.

<sup>c</sup>  $E_{a(r)} = [E(\text{TS}) + E(\text{slab})] - (E(\text{AB}/\text{slab}))$  for the reversed energy barrier.

<sup>d</sup>  $\Delta H_{(c)} = E(\text{AB}/\text{slab}) - E((A + B)/\text{slab})$  for reaction energy.

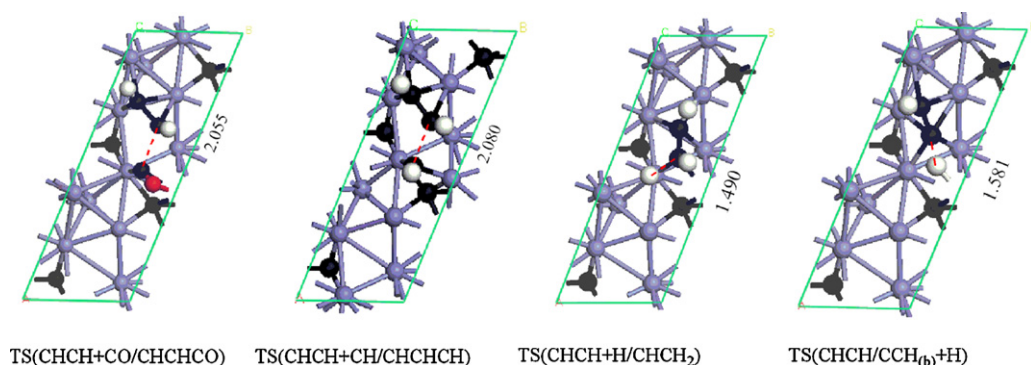


Fig. 12. Transition state structures of CHCH hydrogenation, dehydrogenation and coupling on Fe<sub>5</sub>C<sub>2</sub>(001).

**Table 7**  
Computed activation energy and reaction energy (eV) of  $\text{CH}_x\text{O}_{(v)}$  hydrogenation and C–O cleavage of  $\text{CH}_x\text{O}_{(v)}$  species on the vacancy  $\text{Fe}_5\text{C}_2(001)$ .

	$\Delta E^a$	$E_{a(f)}^b$	$E_{a(r)}^c$	$\Delta H_{(c)}^d$
$\text{CO}_{(v)} \rightarrow \text{C} + \text{O} (\mathbf{1a}^*)$	0.11	1.16	1.63	−0.47
$\text{CO}_{(v)} + \text{H} \rightarrow \text{HCO}_{(v)} (\mathbf{1b}^*)$	0.0	0.77	0.03	0.74
$\text{CHO}_{(v)} \rightarrow \text{CH} + \text{O} (\mathbf{2a}^*)$	0.13	0.74	2.11	−1.37
$\text{CHO}_{(v)} + \text{H} \rightarrow \text{CH}_2\text{O}_{(v)} (\mathbf{2b}^*)$	0.0	1.30	0.44	0.86

<sup>a</sup> For a reaction ( $\text{A} + \text{B} \rightarrow \text{AB}$ ),  $\Delta E = [E(\text{A} + \text{B}/\text{slab}) + E(\text{slab})] - (E(\text{A}/\text{slab}) + E(\text{B}/\text{slab}))$  for co-adsorbed A and B.

<sup>b</sup>  $E_{a(f)} = [E(\text{TS}) + E(\text{slab})] - (E((\text{A} + \text{B})/\text{slab}))$  for the forward energy barrier.

<sup>c</sup>  $E_{a(r)} = [E(\text{TS}) + E(\text{slab})] - (E(\text{AB}/\text{slab}))$  for the reversed energy barrier.

<sup>d</sup>  $\Delta H_{(c)} = E(\text{AB}/\text{slab}) - E((\text{A} + \text{B})/\text{slab})$  for reaction energy.

1.16 eV, and is exothermic by 0.47 eV. Therefore, the co-adsorbed  $\text{CCH}_2$  species has almost no influence on CO dissociation. The barrier of CHO dissociation at vacancy site ( $\mathbf{2a}^*$ ) is 0.74 eV, lower than that (1.16 eV) on the perfect surface ( $\mathbf{2a}$ ). However, the barrier of CHO hydrogenation at vacancy site ( $\mathbf{2b}^*$ ) is 1.30 eV, higher than that (0.91 eV) on the perfect surface ( $\mathbf{2b}$ ). The lateral interaction of C and CH with O is 0.11 and 0.13 eV, respectively, and there are no lateral interaction of  $\text{CO}_{(v)}$  and  $\text{CHO}_{(v)}$  with H atoms. Since CO dissociation barrier (1.16 eV) at vacancy site ( $\mathbf{1a}^*$ ) is higher than that (0.77 eV) of CO hydrogenation ( $\mathbf{1b}^*$ ), CO at vacancy site is preferred to be hydrogenated into CHO. Furthermore, the barrier (0.74 eV) of CHO dissociation ( $\mathbf{2a}^*$ ) is lower than that (1.30 eV) of CHO hydrogenation ( $\mathbf{2b}^*$ ). As a result, CHO at vacancy site is favored to dissociate into CH and O. This indicates that CO at vacancy sites is favored to form CH by hydrogenation and dissociation. Compared with CO adsorbed on the perfect  $\text{Fe}_5\text{C}_2(001)$ , CO at vacancy site is more preferred to adsorption, activation, hydrogenation and dissociation. This suggests that CO filling at vacancy site promotes the regeneration of the active site and sustain the catalytic cycle.

### 3.6. Removal of surface O and $\text{H}_2\text{O}$ formation

Apart from the formation of surface hydrocarbons, water is a major by-product in FTS. Therefore, not only surface hydrocarbons but also surface oxygen, surface hydroxyl and surface water are important species in FTS.  $\text{H}_2\text{O}$  formation and removal can also promote the regeneration of the active site. The energy data of  $\text{H}_2\text{O}$  formation are listed in Table 8, and the transition state structures of oxygen hydrogenation are shown in Fig. 14. From  $\text{CCH}_2\text{O}$  dissociation, there are 3-fold O atom and  $\text{CCH}_2$  on  $\text{Fe}_5\text{C}_2(001)$ . For OH adsorption, three adsorption modes of  $\text{OH}_{2f}$ ,  $\text{OH}_{3f}$ , and  $\text{OH}_{4f}$  at 2-fold, 3-fold, and 4-fold sites are found, and the adsorption energy is −3.85, −3.68 and −3.25 eV, respectively. The OH adsorption energy at hcp, edge-bridge and near-edge-hcp sites on flat and stepped  $\text{Co}(0001)$  is −3.45, −3.98 and −3.67 eV, respectively [74]. For  $\text{H}_2\text{O}$  adsorption, one mode at top site is obtained, and the adsorption

**Table 8**  
Computed activation energy and reaction energy (eV) of  $\text{H}_2\text{O}$  formation on  $\text{Fe}_5\text{C}_2(001)$ .

	$\Delta E^a$	$E_{a(f)}^b$	$E_{a(r)}^c$	$\Delta H_{(c)}^d$
$\text{O}_{4f} + \text{H} \rightarrow \text{OH}_{2f}$	0.0	1.70	1.30	0.40
$\text{O}_{3f} + \text{H} \rightarrow \text{OH}_{3f}$	0.0	1.53	0.90	0.63
$\text{O}_{4f} + \text{H} \rightarrow \text{OH}_{4f}$	0.0	1.67	0.57	1.10
$\text{O}_{3f} + \text{O}_{4f} + \text{H} \rightarrow \text{OH}_{2f} + \text{O}_{3f}$	0.16	1.48	1.31	0.17
$\text{O}_{3f} + \text{O}_{4f} + \text{H} \rightarrow \text{OH}_{3f} + \text{O}_{4f}$	0.84	0.80	1.23	−0.43
$\text{OH}_{2f} + \text{O}_{4f} + \text{H} \rightarrow \text{H}_2\text{O} + \text{O}_{4f}$	0.48	1.03	0.99	0.04
$\text{OH}_{3f} + \text{OH}_{4f} \rightarrow \text{H}_2\text{O} + \text{O}_{4f}$	0.0	0.21	0.29	−0.08

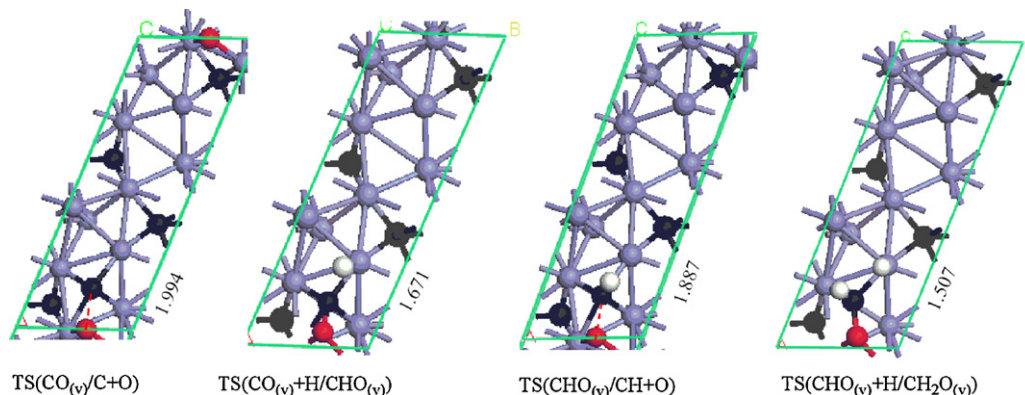
<sup>a</sup> For a reaction ( $\text{A} + \text{B} \rightarrow \text{AB}$ ),  $\Delta E = [E(\text{A} + \text{B}/\text{slab}) + E(\text{slab})] - (E(\text{A}/\text{slab}) + E(\text{B}/\text{slab}))$  for co-adsorbed A and B.

<sup>b</sup>  $E_{a(f)} = [E(\text{TS}) + E(\text{slab})] - (E((\text{A} + \text{B})/\text{slab}))$  for the forward energy barrier.

<sup>c</sup>  $E_{a(r)} = [E(\text{TS}) + E(\text{slab})] - (E(\text{AB}/\text{slab}))$  for the reversed energy barrier.

<sup>d</sup>  $\Delta H_{(c)} = E(\text{AB}/\text{slab}) - E((\text{A} + \text{B})/\text{slab})$  for reaction energy.

energy is −0.87 eV. Therefore,  $\text{H}_2\text{O}$  desorption needs 0.87 eV. For the formation of  $\text{OH}_{2f}$ ,  $\text{OH}_{3f}$ , and  $\text{OH}_{4f}$ , the energy barrier is 1.70, 1.53 and 1.67 eV, respectively, and the reaction is endothermic by 0.40, 0.63 and 1.10 eV, respectively. There are no lateral interactions between O and H atoms for  $\text{OH}_{2f}$ ,  $\text{OH}_{3f}$ , and  $\text{OH}_{4f}$  formation. Without adsorbed  $\text{CCH}_2$ ,  $\text{OH}_{3f}$  formation has barrier of 1.41 eV, and is endothermic by 0.67 eV. Thus, oxygen hydrogenation into OH at low coverage is difficult. With the increasing of the coverage,  $\text{OH}_{2f}$  formation has barrier of 1.48 eV and is endothermic by 0.17 eV. The lateral interaction between 2-fold H and 4-fold O is 0.16 eV. For  $\text{OH}_{3f}$  formation, the energy barrier is 0.80 eV, and the reaction is exothermic by 0.43 eV. The lateral interaction between 3-fold O and 2-fold H is 0.84 eV. Thus,  $\text{OH}_{3f}$  formation is favored at high coverage of O atoms. For  $\text{H}_2\text{O}$  formation, there are two pathways with OH hydrogenation ( $\text{OH} + \text{H} \rightarrow \text{H}_2\text{O}$ ) and disproportionation ( $2\text{OH} \rightarrow \text{H}_2\text{O} + \text{O}$ ). There is strong lateral interaction between 2-fold OH and 2-fold H with 0.48 eV. OH hydrogenation has barrier of 1.03 eV, and is endothermic by 0.04 eV. In contrast, there is no lateral interaction between 2-fold  $\text{OH}_{2f}$  and 4-fold  $\text{OH}_{4f}$ ; and the OH disproportionation has low barrier of 0.21 eV, and is exothermic by 0.08. Similarly  $\text{H}_2\text{O}$  formation by  $\text{OH} + \text{OH}$  has low barrier with



**Fig. 13.** Transition state structures of hydrogenation and dissociation of CO at vacancy site ( $\text{CO}_{(v)}$ ) on  $\text{Fe}_5\text{C}_2(001)$ .

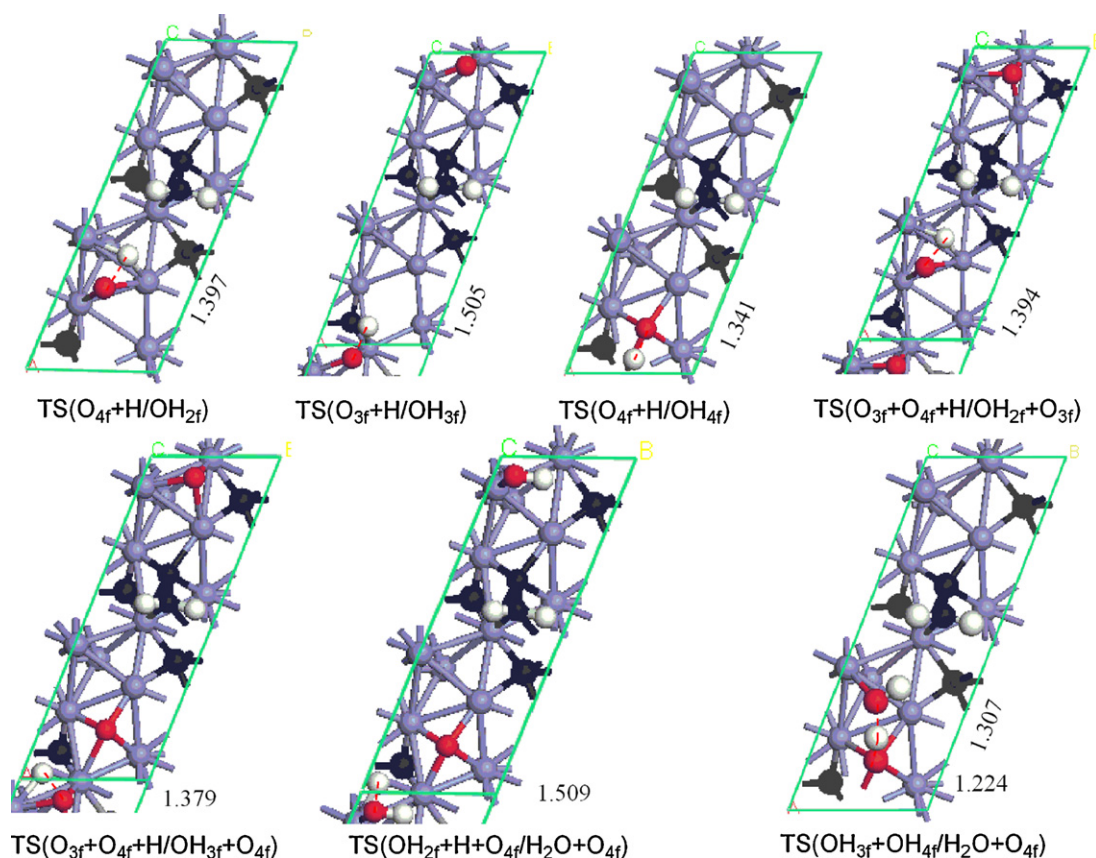


Fig. 14. The whole kinetic energy diagram connecting the reactants (CO, H, and surface C) and main C<sub>3</sub> intermediate (CCCO, CCCH).

0.64 eV on stepped Co(0001) [59]. Therefore, OH disproportionation to form H<sub>2</sub>O is energetically more favored than OH hydrogenation.

### 3.7. Discussion

On the basis of our above calculations, the complete kinetic energy diagram is shown in Fig. 15. In order to simplify the elementary steps, the effective barriers are used in the steps of CCO hydrogenation and coupling, and CCH<sub>2</sub> and CHCH coupling. The first step of carbon chain formation on Fe<sub>5</sub>C<sub>2</sub>(001) is CO insertion into surface C to form CCO, which belongs to CO insertion mechanism. On the other hand, C hydrogenation into CH is also favored. Therefore, CCO and CH are the main species in the first FTS

reaction step. Since CCO+CH has high barrier and one-step coupling is not favored, the stepwise pathway of CH dehydrogenation into C, following by CCO coupling form CCCO. On the other hand, CCO hydrogenation can also occur. CCO hydrogenation and C–O cleavage reactions are favored to form CCH<sub>2</sub> and CHCH.

For CCH<sub>2</sub>, the favored pathway is CCH<sub>2</sub> dehydrogenates into CCH, followed by coupling with C to form CCCH. CHCH also is preferred to dehydrogenate into CCH. Along with CCO and CCH coupling with C/CH/CO, surface C atom is the most preferred C<sub>1</sub> species. This is similar with the favored chain propagation reaction with C + CCH<sub>2</sub> on Fe(100) [39]. For CCH<sub>2</sub> dehydrogenation and coupling, this step belongs to carbide mechanism. This suggests CO insertion mechanism and carbide mechanism indeed co-exist.

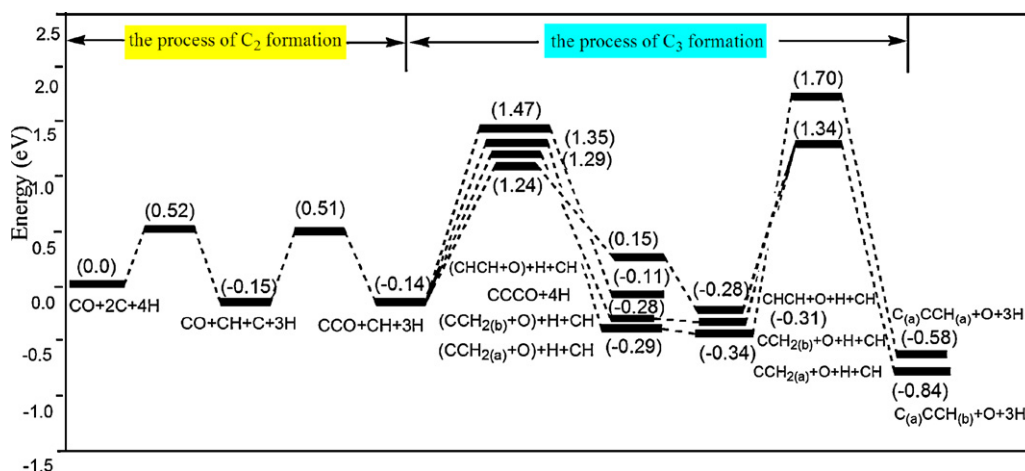


Fig. 15. The whole kinetic energy diagram connecting the reactants (CO, H, and surface C) and main C<sub>3</sub> intermediate (CCCO, CCCH).

**Table 9**

The comparison of reaction energy and energy barriers of C + CO → CCO coupling on iron carbide surfaces.

FeC <sub>x</sub>	Surface	E <sub>a</sub> (eV)	ΔH (eV)	Ref.
Fe <sub>2</sub> C	(0 1 1)	0.93	0.87	[77]
Fe <sub>3</sub> C	(00 1)	1.89	1.05	[77]
Fe <sub>4</sub> C	(1 0 0)	0.64	0.62	[77]
Fe <sub>5</sub> C <sub>2</sub>	(0 1 0)(0.25) <sup>a</sup>	2.04	0.84	[77]
Fe <sub>5</sub> C <sub>2</sub>	(0 1 0)(0.25) <sup>a</sup>	–	0.81	[78]
Fe <sub>5</sub> C <sub>2</sub>	(1 1 $\bar{1}$ )(0.0) <sup>b</sup>	–	0.45	[78]
Fe <sub>5</sub> C <sub>2</sub>	(1 1 0)(0.0) <sup>b</sup>	–	0.38	[78]
Fe <sub>5</sub> C <sub>2</sub>	(1 1 1)(0.0) <sup>b</sup>	–	0.62	[78]
Fe <sub>5</sub> C <sub>2</sub>	(1 1 $\bar{1}$ )(0.50) <sup>c</sup>	–	0.99	[78]
Fe <sub>5</sub> C <sub>2</sub>	(1 1 0)(0.50) <sup>c</sup>	–	0.50	[78]
Fe <sub>5</sub> C <sub>2</sub>	(0 0 1)	0.66	0.01	This paper
Fe <sub>3</sub> C	(1 0 0)	–	–0.02	[80]

<sup>a</sup> 0.25 means a 0.25 fractional distance along with the normal axis.<sup>b</sup> 0.0 means a 0.0 fractional distance along the normal axis.<sup>c</sup> 0.50 means a 0.50 fractional distance along with the normal axis.

For oxygenated and hydrocarbon intermediates of C<sub>2</sub> species, CCO, CCH<sub>2</sub> and CHCH are preferred to chain propagation into CCCO and CCCH. Thus, CCO is preferred to hydrogenation and coupling with C into CCCH when H<sub>2</sub>/CO ratio is up to 1, while CCO is favored to occur chain propagation reaction to form CCCO when H<sub>2</sub>/CO ratio is lower than 1. CCCO and CCCH are main C<sub>3</sub> intermediates. However, the H<sub>2</sub>/CO ratio likely increases if CCCO transfers into hydrocarbon intermediate due to the increasing number of carbon. For the hydrogenation and coupling reactions of CCCO and CCCH will be paid attention in our future studies.

It is now interesting to compare CCO formation on Fe<sub>5</sub>C<sub>2</sub>(0 0 1) with other Fe<sub>5</sub>C<sub>2</sub> surfaces and iron carbide surfaces (Table 9). For Fe<sub>5</sub>C<sub>2</sub>, the surface energies of (0 1 0)(0.25), (1 1  $\bar{1}$ )(0.0), (1 1 0)(0.0), (1 1 1)(0.0), (1 1  $\bar{1}$ )(0.50), (1 1 0)(0.50) are all lower than that of (0 0 1)(0.0) [75]. This indicates that the former six surfaces are more stable than the (0 0 1) surface. Since Fe<sub>5</sub>C<sub>2</sub>(0 1 0) and (0 0 1) are observed with X-ray-diffraction synchrotron-radiation experiments [76], direct comparison between these two surfaces is informative. It is noted that reaction activation and selectivity is related with surface structure. It is important for investigating the F–T reactions on stable Fe<sub>5</sub>C<sub>2</sub>(0 1 0) and active (0 0 1) to understand the whole F–T mechanism. As given in Table 6, C + CO → CCO coupling has high barrier (2.04 eV [77]) and is endothermic (0.81 eV [78]) on (0 1 0)(0.25), but it has low barrier (0.66 eV) and is thermal neutral (0.01 eV) on (0 0 1)(0.0). This suggests CCO formation is more favored on Fe<sub>5</sub>C<sub>2</sub>(0 0 1) than on Fe<sub>5</sub>C<sub>2</sub>(0 1 0).

For other iron carbide, C + CO → CCO on the most stable Fe<sub>2</sub>C(0 1 1), Fe<sub>3</sub>C(0 0 1), and Fe<sub>4</sub>C(1 0 0) has barrier of 0.93, 1.89, and 0.64 eV, respectively, and is endothermic by 0.87, 1.05, and 0.62 eV, respectively [77]. This indicates that CCO formation is not favored on the most stable Fe<sub>5</sub>C<sub>2</sub>(0 1 0), Fe<sub>2</sub>C(0 1 1), Fe<sub>3</sub>C(0 0 1), Fe<sub>4</sub>C(1 0 0), while CCO formation is favored on the less stable Fe<sub>5</sub>C<sub>2</sub>(0 0 1). For Fe<sub>3</sub>C, (1 0 0) is much less stable than (0 0 1) surface [79], this reaction on Fe<sub>3</sub>C(1 0 0) is thermal neutral (0.02 eV) [80]. Thus, CCO formation on Fe<sub>3</sub>C(1 0 0) is also favored. This indicates that chain initiation mechanism on more stable FeC<sub>x</sub> surfaces and less stable FeC<sub>x</sub> surfaces might be different. On active Fe<sub>5</sub>C<sub>2</sub>(0 0 1), the chain initiation is CO insertion with CCO formation (insertion mechanism), while on the most stable Fe<sub>5</sub>C<sub>2</sub>(0 1 0), CO is preferred to hydrogenation to form CH<sub>x</sub> [77] (carbide mechanism). Thus, Fe<sub>5</sub>C<sub>2</sub>(0 1 0) and (0 0 1) provide the basis for understanding their differences in FTS mechanism.

Compared to the perfect surface, the adsorption energy and dissociation barrier of CO at defect site of Fe<sub>5</sub>C<sub>2</sub>(0 0 1) is larger and lower, respectively; as also found on the defect Fe<sub>2</sub>C(0 1 1), Fe<sub>5</sub>C<sub>2</sub>(0 1 0), Fe<sub>3</sub>C(0 0 1), Fe<sub>4</sub>C(1 0 0) [77], and Fe<sub>3</sub>C(1 0 0) surfaces [40]. Therefore, defect sites will help CO adsorption and dissociation. On Fe<sub>5</sub>C<sub>2</sub>(0 0 1), CO hydrogenation barriers at defect site and perfect surface are lower than the dissociation barriers; and

this suggests that hydrogenation of CO promotes C–O cleavage via formyl (CHO) intermediate. Indeed, King et al. studied the mechanism of hydrocarbon combustion and synthesis on Pd(1 1 1), Pt(1 1 1), and Ru(0 0 0 1) surfaces, and confirmed formyl is main intermediate species in both processes [81]. The emerging of defect sites during the process of surface C hydrogenation and coupling play important role for regenerating the active site and sustaining the catalytic cycle.

In the process of FTS, CO at vacancy site is more preferred to adsorption, activation, hydrogenation and dissociation compared with perfect Fe<sub>5</sub>C<sub>2</sub>(0 0 1). Thus, CO filling at vacancy site promotes CH species formation and increases the coverage of O atoms. On the other hand, O hydrogenation into H<sub>2</sub>O is favored at the high coverage of O. Therefore, CO filling at vacancy site and H<sub>2</sub>O formation and removal both promote the regeneration of the active site and sustain the catalytic cycle.

#### 4. Conclusion

The chain growth mechanism of FTS on Fe<sub>5</sub>C<sub>2</sub>(0 0 1) were investigated at the levels of density functional theory by using CASTEP programs. The carbide mechanism and CO insertion mechanism were considered.

For co-adsorbed H, CO and surface carbon atoms, the formation of CH and CCO is most favored in the first steps of FTS; and CO is the chain initiator. Since CH + CCO has high barrier, CH dehydrogenation and then coupling with CCO to form CCCO is more favored. Compared with CCO coupling, CCO hydrogenation to form CCH<sub>2</sub> and CHCH is also favored.

For CCH<sub>2</sub> hydrogenation, dehydrogenation and coupling with CH, CO and C atoms, CCH<sub>2</sub> dehydrogenates into CCH at first, and then couple with C to form CCCH. CHCH also dehydrogenates into CCH at first and then couple with C to form CCCH. Therefore, CCO and CCH are the main C<sub>2</sub> species, and CCCO and CCCH are the main C<sub>3</sub> intermediates.

For chain propagation from C<sub>2</sub> to C<sub>3</sub>, C atom is the most favored C<sub>1</sub> species. Since chain initiation obeys CO insert mechanism and chain propagation obeys carbide mechanism, both mechanisms do work synergistically rather than independently.

It is found that the vacancy sites formed from surface carbon hydrogenation and the subsequent coupling reactions can be dynamically filled by CO activation, promoted by subsequent hydrogenation into surface formyl intermediate (CHO) and successive dissociation into surface CH and O. Apart from surface CH hydrogenation into hydrocarbons, surface O hydrogenation into water is also very important for generating and maintaining the surface stability and reactivity. It is found that surface O hydrogenation into surface OH is favored at high coverage. H<sub>2</sub>O formation

from surface OH disproportionation is energetically more favored than surface OH hydrogenation.

## Acknowledgments

This work was supported by Chinese Academy of Science and the National Nature Foundation of China (No. 20873173) and National Basic Research Program of China (No. 2011CB201406). This work was also supported by Synfuels China Co., Ltd.

## Appendix A. Supplementary data

The energy barriers and reaction energies without ZPEC of each reaction is given.

Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.molcata.2011.06.009.

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